Bio-xLSTM: Generative modeling, representation and in-context learning of biological and chemical sequences

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Abstract

Language models for biological and chemical sequences enable crucial applications such as drug discovery, protein engineering, and precision medicine. Currently, these language models are predominantly based on Transformer architectures. While Transformers have yielded impressive results, their quadratic runtime dependency on sequence length complicates their use for long genomic sequences and in-context learning on proteins and chemical sequences. Recently, the recurrent xLSTM architecture has been shown to perform favorably compared to Transformers and modern state-space models (SSMs) in the natural language domain. Similar to SSMs, xLSTMs have linear runtime dependency and allow for constant-memory decoding at inference time, which makes them prime candidates for modeling long-range dependencies in biological and chemical sequences. In this work, we tailor xLSTM towards these domains and we propose a suite of language models called Bio-xLSTM. Extensive experiments in three large domains, genomics, proteins, and chemistry, were performed to assess xLSTM's ability to model biological and chemical sequences. The results show that Bio-xLSTM is a highly proficient generative model for DNA, protein, and chemical sequences, learns rich representations, and can perform in-context learning for proteins and small molecules.

1 Introduction

Accurate computational models for biological sequences are essential for translating data into actionable insights in modern biology. Biological sequences like DNA, RNA, and proteins are central to molecular biology, genomics, and drug discovery. Major projects like the Human Genome Project [\(Lander et al.,](#page-11-0) [2001\)](#page-11-0) and the 1000 Genomes Project [\(1000 Genomes Project Consortium,](#page-9-0) [2010\)](#page-9-0) have driven large- scale data collection efforts. Modeling these sequences is key to advancing life sciences [\(Benegas et al.,](#page-9-1) [2023;](#page-9-1) [Karollus et al.,](#page-11-1) [2024\)](#page-11-1), interacting with biological systems [\(Hopf](#page-11-2) [et al.,](#page-11-2) [2017;](#page-11-2) [Riesselman et al.,](#page-13-0) [2018;](#page-13-0) [Yang et al.,](#page-15-0) [2019\)](#page-15-0) or predicting phenotypes from genetic variants [\(Ashley,](#page-9-2) [2016;](#page-9-2) [Brandes et al.,](#page-10-0) [2023;](#page-10-0) [Acosta et al.,](#page-9-3) [2022\)](#page-9-3). Similar efforts exist for protein sequences [\(The UniProt Consortium,](#page-14-0) [2023\)](#page-14-0) and small molecules [\(Kim et al.,](#page-11-3) [2023;](#page-11-3) [Zdrazil et al.,](#page-15-1) [2023\)](#page-15-1), used for tasks like protein engineering [\(Arnold,](#page-9-4) [2018;](#page-9-4) [Yang et al.,](#page-15-0) [2019\)](#page-15-0), predicting 3D structures [\(Jumper](#page-11-4) [et al.,](#page-11-4) [2021\)](#page-11-4), and drug discovery [\(Zhavoronkov et al.,](#page-15-2) [2019\)](#page-15-2). Large language models (LLMs) [\(Brown](#page-10-1) [et al.,](#page-10-1) [2020;](#page-10-1) [Bubeck et al.,](#page-10-2) [2023\)](#page-10-2) have emerged as prime candidates for modeling biological sequences

4th NeurIPS Efficient Natural Language and Speech Processing Workshop (ENLSP-IV 2024).

Figure 1: Overview of Bio-xLSTM. Top left: xLSTM for natural language processing tasks. Top right: Additional modeling approaches for biological sequences: masked language modeling, equivariance to reverse complementary sequence, and in-context learning. Bottom left: DNA-xLSTM models are trained on genomic DNA sequences and then used for fine-tuning on downstream tasks. Bottom center: Prot-xLSTM models are trained in a causal modeling setting with a fill-in-the-middle objective and use homologous proteins for in-context learning. Bottom right: Chem-xLSTM models are trained to generate small molecules. For an in-context learning setting, Chem-xLSTM uses molecules with known properties.

and serving as foundation models for molecular biology and chemistry [\(Ji et al.,](#page-11-5) [2021;](#page-11-5) [Schiff et al.,](#page-13-1) [2024;](#page-13-1) [Nguyen et al.,](#page-12-0) [2023;](#page-12-0) [Rives et al.,](#page-13-2) [2021;](#page-13-2) [Lin et al.,](#page-12-1) [2023\)](#page-12-1).

Large language models for biological sequences must handle long sequences and incorporate context. The rise of LLMs [\(Radford et al.,](#page-13-3) [2018;](#page-13-3) [Brown et al.,](#page-10-1) [2020;](#page-10-1) [Bubeck et al.,](#page-10-2) [2023\)](#page-10-2) has revolutionized numerous fields, including life sciences. Most LLMs are based on the Transformer architecture [\(Vaswani et al.,](#page-14-1) [2017\)](#page-14-1), which excels at predicting the next or missing token using self-attention. However, this mechanism scales quadratically with sequence length, making longsequence processing computationally expensive. Biological sequences, with their important longrange interactions due to 3D folding, require long context windows for accurate modeling, which is essential for gene regulation in DNA [\(Bouwman & de Laat,](#page-9-5) [2015\)](#page-9-5) and protein function [\(Anfinsen,](#page-9-6) [1973\)](#page-9-6). Long contexts also benefit models to exploit homologous proteins [\(Truong Jr & Bepler,](#page-14-2) [2023;](#page-14-2) [Sgarbossa et al.,](#page-14-3) [2024\)](#page-14-3) and molecular context for small molecules [\(Papadatos et al.,](#page-12-2) [2010;](#page-12-2) [Schimunek](#page-13-4) [et al.,](#page-13-4) [2023\)](#page-13-4). The human genome spans around three billion base-pairs (bps), far exceeding the context limits of Transformer-based models. As a result, most biological sequence models use short contexts [\(Rives et al.,](#page-13-2) [2021;](#page-13-2) [Ji et al.,](#page-11-5) [2021;](#page-11-5) [Dalla-Torre et al.,](#page-10-3) [2023\)](#page-10-3). The emergence of state-space models (SSMs), like S4 [\(Gu et al.,](#page-11-6) [2022\)](#page-11-6), Hyena [\(Poli et al.,](#page-13-5) [2023\)](#page-13-5), and Mamba [\(Gu & Dao,](#page-11-7) [2023\)](#page-11-7), enables handling longer contexts in biological domains [\(Nguyen et al.,](#page-12-0) [2023;](#page-12-0) [Schiff et al.,](#page-13-1) [2024;](#page-13-1) [Sgarbossa et al.,](#page-14-3) [2024\)](#page-14-3). However, the recently proposed xLSTM [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7), a recurrent neural network, has outperformed these architectures in natural language processing [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7). For further related work, see Appx. [A.](#page-17-0)

The recently proposed xLSTM is a powerful architecture for sequence modeling and a promising candidate for biological and chemical sequences. The xLSTM architecture [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7) introduces enhanced memory structures and exponential gates that boost its performance, particularly in natural language modeling. Despite these enhancements, xLSTM retains the efficiency of a recurrent neural network and can handle varying sequence lengths effectively [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7). It introduces two new layers: a) sLSTM, with exponential gates that improve state tracking [\(Merrill](#page-12-3) [et al.,](#page-12-3) [2024\)](#page-12-3), and b) mLSTM, which allows switching between parallel training and recurrent inference modes, enabling scalability to larger contexts [\(Katharopoulos et al.,](#page-11-8) [2020;](#page-11-8) [Choromanski](#page-10-4) [et al.,](#page-10-4) [2021\)](#page-10-4). These features make xLSTM ideal for modeling: i) DNA sequences, which are inherently long and contain long-range interactions, ii) protein sequences, where modeling strongly benefits from contextual information of evolutionary-related proteins [\(Rives et al.,](#page-13-2) [2021\)](#page-13-2), and iii) small molecules represented as chemical sequences, such as Simplified Molecular Input Line Entry System (SMILES) [\(Weininger,](#page-15-3) [1988\)](#page-15-3), for which in-context learning (ICL) abilities are required to generate new molecules with desired properties or from a particular molecular domain [\(Segler et al.,](#page-14-4) [2018;](#page-14-4) [Schimunek et al.,](#page-13-4) [2023\)](#page-13-4). However, how to best tailor xLSTM for biological and chemical sequences remains unclear, or how it compares to other domain-specific LLMs.

Contributions. We introduce: a) DNA-xLSTM, a model tailored for DNA sequences with reversecomplement equivariant blocks, and evaluate its performance on long-context generative modeling, representation learning, and downstream tasks. b) Prot-xLSTM, a homology-aware protein language model with in-context learning, which we benchmark on generative modeling and conditioned protein design tasks. c) Chem-xLSTM, a sequence model for SMILES representations of small molecules for which we demonstrate ICL capabilities. An overview of Bio-xLSTM is shown in Fig. [1.](#page-1-0)

2 xLSTM: Background and Notation

sLSTM and mLSTM layers. xLSTM [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7) make use of two types of layers: sLSTM (see Appendix Section [B.1\)](#page-19-0) and mLSTM (see Appendix Section [B.2\)](#page-19-1) which are the main components within residual block structures (see Appendix Section [B.3\)](#page-19-2) of its multi-layer architectures. We consider a series of input vectors $x_t \in \mathbb{R}^D$ given at a certain time step $t \in \{1,\ldots,T\}$. $X=X_{1:T}=$ $(x_1, x_2, \ldots, x_T) \in \mathbb{R}^{D \times T}$ denotes the matrix of stacked input vectors from all time steps. Both sLSTM and mLSTM are recurrent neural networks, which either map a state $(h_{t-1}, c_{t-1}, n_{t-1})$ to a successor state (h_t, c_t, n_t) given an input x_{t-1} (sLSTM) or a state $(h_{t-1}, C_{t-1}, n_{t-1})$ to a successor state (h_t, C_t, n_t) given an input x_{t-1} (mLSTM). Here, $h_t \in \mathbb{R}^d$ denotes a hidden state, $c_t \in \mathbb{R}^d$ and $C_t \in \mathbb{R}^{d \times d}$ denote cell states responsible for long-term memory and, $n_t \in \mathbb{R}^d$ denotes a normalizer state. sLSTM and mLSTM utilize several adjustable weight matrices and bias vectors and employ input-, output-, and forget-gates, activated by exponential (exp) or the sigmoid functions (σ). For cell inputs in sLSTM, the hyperbolic tangent function (tanh, abbreviated as φ) is used as an activation function.

The xLSTM architecture (detailed in Appendix Section [B.3\)](#page-19-2), including all layers, normalization, blocks, and other components, defines a mapping from an input sequence of length t to an output sequence. This mapping is denoted as $xLSTM : \mathbb{R}^{D \times t} \mapsto \mathbb{R}^{D \times t}$, where $xLSTM$ transforms the stacked inputs up to time step t, i.e., $X_{1:t} := (x_1, x_2, \ldots, x_t) \in \mathbb{R}^{D \times t}$, to the corresponding stacked outputs of sequence length t, i.e., $Y_{1:t} := (y_1, y_2, \ldots, y_t) \in \mathbb{R}^{D \times t-1}$ $Y_{1:t} := (y_1, y_2, \ldots, y_t) \in \mathbb{R}^{D \times t-1}$ $Y_{1:t} := (y_1, y_2, \ldots, y_t) \in \mathbb{R}^{D \times t-1}$. The *i*-th sequence element is denoted with the subscript i, e.g. the *i*-th element from $X_{1:t}$ would be $(X_{1:t})_i$. Similarly to the mapping xLSTM, we also define mappings for the sequence-wise input-/output behaviour of layers themselves for an sLSTM layer (sLSTM : $\mathbb{R}^{D \times t} \mapsto \mathbb{R}^{D \times t}$) or an mLSTM layer (mLSTM : $\mathbb{R}^{D\times t} \mapsto \mathbb{R}^{D\times t}$). If the specific parameters used for the mapping are unclear, we will denote this by including a second argument in the function, separated by a semicolon.

2.1 Modes of Operation: Parallel, Chunkwise, and Recurrent

The recurrent forms of sLSTM and mLSTM, detailed in Appendix Sections [B.1](#page-19-0) and [B.2,](#page-19-1) provide efficient, constant-memory decoding during inference. This eliminates the need for expensive keyvalue caching, which represents a major challenge for Transformer models in long-range settings. Like Transformers, mLSTM allows for parallelization across the sequence length which significantly speeds up training. Additionally, similar to linear attention variants [\(Katharopoulos et al.,](#page-11-8) [2020;](#page-11-8) [Yang et al.,](#page-15-4) [2024\)](#page-15-4), mLSTM supports chunkwise parallel processing, blending recurrent and parallel modes. This approach is especially advantageous for long-sequence training and prompt encoding. For further details, refer to Appendix [B.4.](#page-20-0)

3 Bio-xLSTM: Longe-Range Modeling of Biological and Chemical Sequences

Bio-xLSTM introduces three xLSTM-based variants tailored specifically to DNA (Section [3.3\)](#page-4-0), proteins (Section [3.4\)](#page-4-1) and small molecules (Section [3.5\)](#page-4-2). For these application domains, we extend xLSTM from causal language modeling (CLM) to new modeling approaches such as fill-in the middle

¹Here x_i and y_i represent the inputs to and outputs from a particular model from an instance of an xLSTM architecture, rather than the inputs and outputs of a specific sLSTM or mLSTM layer

(FIM) (Section [3.1\)](#page-3-0), in-context learning (ICL) (Section [3.1\)](#page-3-0), and masked language modeling (MLM) (Section [3.2\)](#page-3-1).

3.1 Causal Language Modeling and Next-Token Prediction

Causal language modeling (CLM) uses the

$$
\text{CLM loss: } \mathcal{L}^{\text{CLM}} = \mathbb{E}_{\mathbf{X} \sim p_{\mathbf{X}}} \mathbb{E}_{t \sim [[1, T-1]]} \text{CE} (\mathbf{x}_{t+1}, \text{xLSTM}(\mathbf{X}_{1:t})_t), \tag{1}
$$

where CE is the cross-entropy loss (with logits), p_X is the data distribution, and [[1, T – 1]] is the discrete uniform distribution from 1 to $T - 1$. The objective measures how well a particular sequence token x_{t+1} can be predicted based on the previous tokens $X_{1:t}$ by the model x LSTM : $\mathbb{R}^{D\times t} \mapsto$ $\mathbb{R}^{D \times t}$. Therefore, this type of modeling is sometimes also called *next token prediction (NTP)*, *uni-directional modeling* or *autoregressive (AR) modeling* and the loss is also called *NTP loss*.

Fill-in the middle (FIM) [\(Bavarian et al.,](#page-9-8) [2022\)](#page-9-8) is a training paradigm that integrates aspects of both CLM and MLM. In this approach, parts of the sequence are replaced with mask tokens, which are then appended to the end of the sequence. This allows the model to utilize the entire context to predict the masked tokens while maintaining an AR training framework. This strategy, allows the model to perform both a) generative modeling and b) inpainting with CLM.

In-context learning (ICL) is a paradigm that describes that the predictions of the model $Y = xLSTM(X)$ improve when a suitable context $Z \in \mathbb{R}^{D \times S}$ is provided: $Y' = \text{xLSTM}([Z, X])_{(S+1):(S+T)}$, where $[Z, X]$ indicates concatenation, the subscript $(S+1)$: $(S+T)$ denotes that the last output tokens (those corresponding to the X tokens) are selected, and Y' is the output of the model with context Z as input. For natural language processing tasks, Z often contains the solution to a similar problem, or some exemplary solutions, that inform the input and the model. For biological and chemical sequences, Z could be similar genetic regions, homologous proteins, or molecules with desired properties.

3.2 Masked Language Modeling (MLM)

Bio-xLSTM extends xLSTM to masked modeling of biological sequences, for which the typical de-masking or de-noising objective [\(Vincent et al.,](#page-14-5) [2010;](#page-14-5) [Devlin et al.,](#page-10-5) [2019\)](#page-10-5) is used, concretely the

MLM loss:
$$
\mathcal{L}^{\text{MLM}} = \mathbb{E}_{\mathbf{X} \sim p_{\mathbf{X}}} \mathbb{E}_{t \sim [[1,T]]} \mathbb{E}_{\mathbf{M} \sim p_{\mathbf{M}}} \text{CE}(\mathbf{x}_t, \text{xLSTM}(\mathbf{X} \odot \mathbf{M})_t),
$$
 (2)

where $M \in \{0,1\}^{D \times T}$ is a random matrix with binary entries which are usually drawn from a Bernoulli distribution p_M , and \odot is element-wise multiplication. The objective measures how well the original sequence X can be reconstructed from a noisy version $\check{X} \odot M$ by the model $\mathrm{xLSTM}: \mathbb{R}^{D \times T} \mapsto \mathbb{R}^{D \times T}$. This modeling paradigm has also been called *bidirectional modeling*. It has been highly successful in learning representations of proteins at evolutionary scale [\(Rives et al.,](#page-13-2) [2021\)](#page-13-2), which has powered many subsequent applications such as protein engineering and machinelearning guided directed evolution [\(Yang et al.,](#page-15-0) [2019\)](#page-15-0). For details on how xLSTM is extended to the MLM setting, we refer to Appendix Section [B.5.](#page-21-0)

Reverse complement (RC) equivariance. We develop an xLSTM block that is equivariant to the RC of an input sequence, a property particularly relevant to DNA-based applications. In double-helix DNA structures, both strands are semantically equivalent, with one strand being the RC of the other. The RC strand is oriented in the opposite direction of the *forward* strand, with base pairs converted from A to T and C to G. [Shrikumar et al.](#page-14-6) [\(2017\)](#page-14-6) show that a data-driven approach to learn the equivalence between RC sequences can fail. Therefore, [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1) propose to enforce RC-equivariance by design, making use of two different inductive biases, post-hoc conjoining (PH) [\(Zhou et al.,](#page-15-5) [2022\)](#page-15-5) and parameter sharing (PS), in the model architecture. In PH models, the backbone is trained to handle both DNA sequences and their RCs by applying RC augmentations during pretraining. For downstream tasks, PH models are applied to both the original sequence and its RC, and their outputs are summed to reach overall RC invariance. In contrast, PS models, as introduced in [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1), integrate RC-equivariant xLSTM blocks with equivariant word embeddings and language model heads. For additional details, see Appendix Section [C.4.](#page-24-0)

3.3 DNA-xLSTM

For the DNA domain, we propose the DNA-xLSTM architecture to enhance sequence modeling capabilities, particularly for varying context lengths. We introduce three versions of the DNA-xLSTM architecture: two sLSTM-based models trained with a context window of 1,024 tokens (DNAxLSTM-500k and DNA-xLSTM-2M), and a mLSTM-based model trained with a context window of 32,768 tokens (DNA-xLSTM-4M). The short-context model, DNA-xLSTM-500k, has an embedding dimension of 128, 5 sLSTM blocks, an up-projection ratio of 1.25:1 to match the baseline model parameter count, and a total parameter count of 500k, while DNA-xLSTM-2M has an embedding dimension of 256, 6 sLSTM blocks, a 1:1 up-projection ratio, and 2M parameters, The long-context model, DNA-xLSTM-4M, has an embedding dimension of 256, 9 mLSTM blocks, a 2:1 up-projection ratio, and is augmented with Rotary Position Encodings (RoPE) [\(Su et al.,](#page-14-7) [2024a\)](#page-14-7) to handle longrange dependencies effectively, with a total of 4M parameters. All three model architectures are trained with both CLM and MLM. Furthermore, we introduce RC-equivariant versions, xLSTM-PH and xLSTM-PS, which use the original sequence and its reverse complement. We benchmarked these models against state-of-the-art DNA models, such as Transformers, DNA-Mamba (Caduceus) [\(Schiff](#page-13-1) [et al.,](#page-13-1) [2024\)](#page-13-1), and HyenaDNA [\(Nguyen et al.,](#page-12-0) [2023\)](#page-12-0), showing competitive or better performance on pre-training and downstream classification tasks (see Section [4.1\)](#page-4-3).

3.4 Prot-xLSTM

For the protein domain, we propose Prot-xLSTM to address the complexities of protein sequence data, particularly in capturing long-range dependencies to enable homology-conditioned modeling. We introduce two versions of Prot-xLSTM: Prot-xLSTM-26M and Prot-xLSTM-102M, with 26M and 102M parameters, respectively. Both architectures are trained with variable context sizes ranging from 2,048 to 131,072 tokens. Both models consist of 16 mLSTM blocks, with embedding dimensions of 512 for Prot-xLSTM-26M and 1,024 for Prot-xLSTM-102M and maintaining a consistent 2:1 projection ratio across both models. To effectively manage the wide range of protein sequence lengths and context sizes, RoPEs [\(Su et al.,](#page-14-7) [2024a\)](#page-14-7) are implemented for Prot-xLSTM. These models are trained with CLM using a FIM strategy on non-aligned homologous sequences from the OpenProteinSet dataset [\(Ahdritz et al.,](#page-9-9) [2023\)](#page-9-9), enabling them to perform ICL at inference time in two modes: (a) generative and (b) inpainting. Both approaches can be used for protein design, with the latter also suited for residue-based predictions, such as mutant fitness estimation. Prot-xLSTM shows better performance than similarly conceived Mamba-and Transformer-based models and shows promising results for homology-conditioned sequence generation (see Section [4.2\)](#page-5-0).

3.5 Chem-xLSTM

For the chemical sequence modeling domain, Chem-xLSTM is developed to enhance the generative modeling capabilities for SMILES strings [\(Weininger,](#page-15-3) [1988\)](#page-15-3), a sequence representation of small molecules. The Chem-xLSTM-15M model for unconditional molecule generation consists of 15M parameters and 9 mLSTM blocks, each with an embedding dimension of 512 and a 1.3:1 projection ratio. The model is trained with CLM with a context length of 100 tokens for consistency with previous work [\(Özçelik et al.,](#page-12-4) [2024\)](#page-12-4). Additionally, we introduce a conditional Chem-xLSTM model, which shares the same architecture but is trained on concatenated SMILES sequences with a context length of 4,096 tokens to enable ICL tasks. This model can generate molecules within a specific domain without fine-tuning, a highly sought-after capability in drug discovery, and also demonstrates few-shot activity prediction abilities. The models have been benchmarked against other generative models for SMILES and at their ICL capabilities (see Section [4.3\)](#page-7-0).

4 Experiments and Results

4.1 DNA Sequences

For the DNA-xLSTM experiments, we followed the experimental protocol outlined in [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1) and [Nguyen et al.](#page-12-0) [\(2023\)](#page-12-0) for both pre-training and downstream adaptation.

Pre-training. The training data for both the CLM and MLM tasks was sourced from the human reference genome [\(Church et al.,](#page-10-6) [2011\)](#page-10-6), with context lengths set to 1,024 and 32k tokens. Our

Figure 2: Pre-training of 2M-parameter DNA models on the human reference genome (GRCh38). Models are trained at single-nucleotide resolution with a context length of 1024 bases. Left: causal language modeling. Learning curves display NTP loss (\downarrow) on a test set, plotted against the number of tokens processed. Right: masked language modeling. Learning curves showing MLM loss (\downarrow) on a test set across the number of tokens seen for various models. In both tasks, the xLSTM-based models consistently achieve the lowest loss values across all update steps.

baseline models included HyenaDNA [\(Nguyen et al.,](#page-12-0) [2023\)](#page-12-0) and Caduceus, which is based on the Mamba architecture [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1). Additionally, we trained Transformer++ baselines, building on the Llama architecture [\(Touvron et al.,](#page-14-8) [2023\)](#page-14-8). Similar to Caduceus, we experimented with both PH- and PS-equivariant xLSTM configurations, benchmarking them against the corresponding Mamba baselines. All models that did not use PS-equivariance were trained with RC augmentation. Hyperparameters were selected using a separate validation set. Figure [2](#page-5-1) presents the test losses for 2M parameter CLM and MLM models trained with RC augmentation, i.e. non-PS models, and a context size of 1,024 tokens. In the CLM setting, DNA-xLSTM-2M achieved the best performance, surpassing Transformer++, Mamba, and HyenaDNA. The performance gap became even more pronounced on the MLM task, where DNA-xLSTM-2M outperformed both Transformer-based models and Mamba. Additionally, we extended DNA-xLSTM-2M to the PS equivariant setting and trained smaller RC-equivariant DNA-xLSTM-500k models. The resulting PH and PS models were subsequently used for downstream fine-tuning. In Appendix Section [C,](#page-22-0) we present additional pre-training results including comparisons for large-context and PS equivariant models. We found that xLSTM-DNA matches or outperforms strong baselines in all pre-training settings.

Downstream fine-tuning. To evaluate the learned representations, we fine-tuned the pre-trained DNAxLSTM-2M and DNA-xLSTM-500K (both PH and PS) on two genomic classification benchmarks: the Genomic benchmark [\(Grešová et al.,](#page-11-9) [2023\)](#page-11-9) and the Nucleotide Transformers Tasks [\(Dalla-](#page-10-3)[Torre et al.,](#page-10-3) [2023\)](#page-10-3), which span 18 datasets from five studies. DNA-xLSTM-2M-PH and DNAxLSTM-2M-PS models pre-trained with context size 1,024 were compared against HyenaDNA and Mamba-PS and Mamba-PH. DNA-xLSTM performed best (see Table [1\)](#page-6-0), outperforming baseline models in the under 2M parameter range on 12 out of 18 tasks, and was comparable to the much larger Nucleotide Transformer (500M parameters), winning 8 tasks. The comparisons with larger Transformer models and xLSTM-DNA-500k performance on the Genomics benchmark are presented in Appendix Section [C.](#page-22-0)

4.2 Protein Sequences

We followed the experimental protocols from [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3) for protein sequences.

Homology-aware training. Training data was sourced from the filtered OpenProteinSet [\(Ahdritz](#page-9-9) [et al.,](#page-9-9) [2023\)](#page-9-9), consisting of 270k UniClust30 clusters (508M sequences, 110B residues). Using the ProtMamba pipeline, we constructed homology-aware, alignment-free inputs by concatenating unaligned homologous sequences and mask patches for training with the FIM strategy. We trained two xLSTM-based models: Prot-xLSTM-26M and Prot-xLSTM-102M. For comparison, we also trained a smaller ProtMamba (ProtMamba-28M) and Transformer-based (Prot-Transformer++-26M) [\(Touvron](#page-14-8) [et al.,](#page-14-8) [2023\)](#page-14-8) model and used the *ProtMamba Long Foundation* (ProtMamba-107M) provided by [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3). Training followed a context length scheduling strategy, with models gradually

Table 1: Downstream adaption of DNA models. The performance of 2M parameter models fine-tuned on Nucleotide Transformer classification tasks on the test set is shown. PS or PH indicate models trained to be RC equivariant. Performance is averaged over 10 random seeds and error bars indicate the difference between maximum and minimum values across the 10 runs. The best values are highlighted in green. DNA-xLSTM outperforms both Mamba and Hyena on 12 out of 18 tasks. Scores for Mamba- and Hyena-based models were obtained from [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1).

Task	Metric	HyenaDNA	Mamba-PS ^a	Mamba-PH ^a	xLSTM-PS	xLSTM-PH
Histone Markers						
H ₃	$MCC \uparrow$	$0.779^{\pm0.037}$	$0.799^{\pm0.029}$	$0.815^{\pm0.048}$	$0.796^{\pm0.014}$	$0.824^{\pm0.010}$
H3K14AC	$MCC \uparrow$	$0.612^{\pm0.065}$	$0.541^{\pm0.212}$	$0.631^{\pm0.026}$	$0.570^{\pm0.008}$	$0.598^{\pm0.017}$
H3K36ME3	$MCC \uparrow$	$0.613^{\pm0.041}$	$0.609^{\pm0.109}$	$0.601^{\pm0.129}$	$0.588^{\pm0.019}$	$0.625^{\pm0.010}$
H3K4ME1	$MCC \uparrow$	$0.512^{\pm0.024}$	$0.488^{\pm0.102}$	$0.523^{\pm0.039}$	$0.490^{\pm0.012}$	$0.526^{\pm0.001}$
H3K4ME2	$MCC \uparrow$	$0.455^{\pm0.095}$	$0.388^{\pm0.101}$	$0.487^{\pm0.170}$	$0.489^{\pm0.024}$	$0.504^{\pm0.012}$
H3K4ME3	$MCC \uparrow$	$0.549^{\pm0.056}$	$0.440^{\pm0.202}$	$0.544^{\pm0.045}$	$0.520^{\pm0.019}$	$0.537^{\pm0.012}$
H3K79ME3	$MCC \uparrow$	$0.672^{\pm0.048}$	$0.676^{\pm0.026}$	$0.697^{\pm0.077}$	$0.662^{\pm0.011}$	$0.697^{\pm0.007}$
H3K9AC	$MCC \uparrow$	$0.581^{\pm0.061}$	$0.604^{\pm0.048}$	$0.622^{\pm0.030}$	$0.622^{\pm0.013}$	$0.627^{\pm0.008}$
H4	$MCC \uparrow$	$0.763^{\pm0.044}$	$0.789^{\pm0.020}$	$0.811^{\pm0.022}$	$0.793^{\pm0.011}$	$0.813^{\pm0.008}$
H4AC	$MCC \uparrow$	$0.564^{\pm0.038}$	$0.525^{\pm0.240}$	$0.621^{\pm0.054}$	$0.558^{\pm0.018}$	$0.583^{\pm0.014}$
Regulatory Annotation						
Enhancer	$MCC \uparrow$	$0.517^{\pm0.117}$	$0.491^{\pm0.066}$	$0.546^{\pm0.073}$	$0.375^{\pm0.030}$	$0.545^{\pm0.024}$
Enhancer Types	$MCC \uparrow$	$0.386^{\pm0.185}$	$0.416^{\pm0.095}$	$0.439^{\pm0.054}$	$0.444^{\pm 0.046}$	$0.466^{\pm0.011}$
Promoter: All	$F1 \uparrow$	$0.960^{\pm0.005}$	$0.967^{\pm0.004}$	$0.970^{\pm0.004}$	$0.962^{\pm0.002}$	$0.967^{\pm0.001}$
NonTATA	$F1 \uparrow$	$0.959^{\pm0.011}$	$0.968^{\pm0.006}$	$0.968^{\pm0.010}$	$0.963^{\pm0.002}$	$0.970^{\pm0.001}$
TATA	$F1 \uparrow$	$0.944^{\pm0.040}$	$0.957^{\pm0.015}$	$0.953^{\pm0.016}$	$0.948^{\pm0.006}$	$0.952^{\pm0.005}$
Splice Site Annotation						
All	Accuracy \uparrow	$0.956^{\pm0.011}$	$0.927^{\pm0.021}$	$0.940^{\pm0.027}$	$0.965^{\pm0.006}$	$0.974^{\pm0.004}$
Acceptor	$F1 \uparrow$	$0.958^{\pm0.010}$	$0.936^{\pm0.077}$	$0.937^{\pm0.033}$	$0.970^{\pm0.005}$	$0.953^{\pm0.008}$
Donor	$F1 \uparrow$	$0.949^{\pm0.024}$	$0.874^{\pm0.289}$	$0.948^{\pm0.025}$	$0.962^{\pm0.004}$	$0.951^{\pm0.005}$

^a this method is also called Caduceus [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1).

increasing context from 2^{11} to 2^{17} tokens. We evaluated models using negative log-likelihood and perplexity, calculated for different parts of the concatenated-FIM sequences. As shown in Fig. [3](#page-7-1) and Tab. [2,](#page-6-1) Prot-xLSTM outperformed the other architectures. Its advantage becomes even more pronounced with longer contexts, which Prot-Transformer++ cannot handle, and where Prot-xLSTM significantly outperforms ProtMamba. Furthermore, Prot-xLSTM-102M outperforms ProtMamba-107M, despite being trained on less than a third of the total training tokens used for ProtMamba-107M. Further details are provided in Appendix Section [D.1.](#page-27-0)

Table 2: Performance comparison of protein language models at homology-conditioned generation. Test set **perplexity** (\downarrow) of different models with a context size of 2^{17} is shown across different token subsets. The average and 95% confidence interval values are computed across the test set clusters. Prot-xLSTM outperforms ProtMamba, especially when using a long context.

	Prot-xLSTM-26M	ProtMamba-28M	Prot-xLSTM-102M	ProtMamba-107M
All tokens	$8.73^{\pm0.31}$	$10.15^{\pm 0.32}$	$6.83^{\pm0.25}$	$7.47^{\pm0.26}$
First seq tokens	$15.40^{\pm0.26}$	$15.28^{\pm0.26}$	$13.36^{\pm 0.35}$	$13.04^{\pm0.36}$
Last seq tokens	$9.19^{\pm0.30}$	$11.08^{\pm0.27}$	$7.32^{\pm0.29}$	$8.37^{\pm0.29}$
FIM tokens	$6.77^{\pm0.25}$	$7.96^{\pm0.27}$	$5.52^{\pm0.20}$	$6.47^{\pm0.23}$

Homology-conditioned protein generation. We generate 2,500 protein sequences each for 19 clusters using different parameters and score them using multiple metrics. Hamming distance, HMMER score, and structural scores correlate well with sequence perplexity, with an average absolute Pearson correlation of 0.57 across clusters for the large Prot-xLSTM model (Table [A10\)](#page-29-0). Table [3](#page-7-2) shows Kolmogorov-Smirnov test statistics, which quantifies how well the score distributions of the generated proteins match those of the real proteins. For each cluster, we compared scores between 100 random real proteins and the 100 generated proteins with the lowest perplexity. For further details see Appx. [D.2.](#page-28-0)

Figure 3: Generative pre-training of protein language models. The learning curves show the validation loss of homology-aware protein language models during training. Left: Smaller (∼25M parameters) models trained for 20B tokens with a context size of 2^{11} and fine-tuned for 10B with a context of 2^{17} tokens. Transformer++ can only be run for a small context size. Right: Prot-xLSTM-102M model trained with increasing context sizes from 2^{11} to 2^{17} . The orange dashed line corresponds to the validation loss of ProtMamba-107M trained up to a context size of 2^{17} for a total of 195B tokens. Vertical gray dashed lines mark the points where context size was increased. Prot-xLSTM consistently outperforms other models and sets a new state-of-the-art at homology-aware generation.

Table 3: Homology-conditioned protein generation. Average Kolmogorov-Smirnov statistic (↓) between scores of natural and generated sequences with 95% confidence intervals across 19 homology clusters. For three of five metrics, score distributions of Prot-xLSTM-generated sequences are closest to natural sequences.

	Prot-xLSTM-26M	ProtMamba-28M	Prot-xLSTM-102M	ProtMamba-107M
Sequence Length	$0.41^{\pm0.09}$	$0.52^{\pm0.09}$	$0.40^{\pm0.08}$	$0.36^{\pm0.08}$
Min. Hamming	$0.43^{\pm0.08}$	$0.60^{\pm0.11}$	$0.47^{\pm0.09}$	$0.42^{\pm0.07}$
HMMER	$0.57^{\pm0.10}$	$0.54^{\pm0.11}$	$0.44^{\pm0.09}$	$0.49^{\pm0.10}$
pLDDT	$0.40^{\pm0.09}$	$0.68^{\pm0.12}$	$0.27^{\pm0.05}$	$0.30^{\pm0.07}$
pTM	$0.38^{\pm0.08}$	$0.72^{\pm0.10}$	$0.26^{\pm0.05}$	$0.28^{\pm0.05}$

4.3 Chemical Sequences

Unconditional molecule generation aims to produce valid small organic molecules without imposing specific constraints, such as being from a particular molecular domain. Following the setup from [Özçelik et al.](#page-12-4) [\(2024\)](#page-12-4), we trained models to generate SMILES strings using a CLM approach on a dataset derived from ChEMBL with a context length of 100 tokens. We compared our Chem-xLSTM model with several architectures, including LSTM, GPT, S4, and Mamba, with all models containing approximately 15 million parameters. The evaluation focused on two primary metrics: perplexity and Fréchet ChemNet Distance (FCD) [\(Preuer et al.,](#page-13-6) [2018\)](#page-13-6). Chem-xLSTM achieved the lowest FCD of 0.13 and a competitive perplexity score of 1.68, indicating its strong ability to generate realistic chemical structures (see Table [4\)](#page-7-3). All models produced valid, unique, and novel molecules, showcasing their effectiveness in this task. Further details and results are provided in Appx. [E.1.](#page-32-0)

Table 4: Unconditional generation of molecules with 15M parameter models. 102,400 SMILES sequences have been generated and evaluated. Error bars represent standard deviations across training re-runs. Green cells highlight the best values per column. Chem-xLSTM yields the best FCD and SMILES-GPT the best perplexity.

	SMILES-LSTM ^a SMILES-GPT ^b SMILES-S4 ^c Chem-Mamba ^d Chem-xLSTM				
$FCD \downarrow$	$0.46^{\pm<0.01}$	$0.15^{\pm<0.01}$	$0.28^{\pm<0.01}$	$0.21^{\pm<0.01}$	$0.13^{\pm<0.01}$
Perplexity \downarrow	$1.88^{\pm3.8}$	$1.65^{\pm 0.6}$	$1.73^{\pm2.4}$	$1.74^{\pm0.5}$	$1.68^{\pm1.0}$

^a [Segler et al.](#page-14-4) [\(2018\)](#page-14-4) \rightarrow [Adilov](#page-9-10) [\(2021\)](#page-9-10) \rightarrow [Özçelik et al.](#page-12-4) [\(2024\)](#page-12-4) \rightarrow Adapted to SMILES in this work.

Figure 4: Conditional generation of molecules via ICL and 15M parameter models. Left: Visualization of different molecular domains contained in the *molecular domains* dataset. A t-SNE down-projection of molecules from different domains is shown. Clusters on the exterior represent highly specific molecular domains. The validation and test set contain highly specific, unseen molecular domains. Right: Generative training of chemistry language models on the *molecular domains* dataset. Learning curves showing mean CLM loss (\downarrow) on a validation set across the training epochs. Shaded areas represent the standard-deviation over runs. The Chem-xLSTM achieved the lowest loss at conditional generation of molecules using ICL.

For conditional molecule generation, the objective is to generate molecules belonging to a specific molecular domain or possessing desired properties. Here, we focus on generating molecules from a particular domain using the in-context learning abilities of LLMs. To achieve this, we assembled a dataset, referred to as the *molecular domains* dataset, that comprises a diverse range of molecular domains: natural products, click-chemistry, proteolysis-targeting chimera (PROTACs), DNA-encoded chemical libraries, approved and failed drugs, and bioactive compounds from various bioassays. Molecules from the same domain, are concatenated as a long sequence, and augmented through permutation during training. We split the dataset into training, validation, and test domains, following an 8:1:1 ratio (Figure [4,](#page-8-0) left). The validation and test sets contained molecules from unseen domains, enabling us to evaluate the models' conditional generation capabilities through in-context learning. We trained Chem-xLSTM, Mamba, Transformer++, and S4-based models with the CLM approach on the *molecular domains* with an increased context length of 4,096 tokens. The context length for S4 models was restricted to 2,048 due to memory constraints. We evaluated the models based on NTP loss across unseen domains. The trained model Chem-xLSTM-15M-icl shows promising results in this conditional setting, outperforming the other benchmarked model-classes (Figure [4,](#page-8-0) right). This demonstrates Chem-xLSTM's capability to generate molecules from an unseen chemical domain when provided with only a few exemplary molecules without fine-tuning. Further details and results are provided in Appx. [E.2.](#page-32-1)

5 Limitations and Conclusions

Limitations. Manual hyperparameter selection may not yield optimal configurations of the models, and the reliance on character-level tokenization for DNA could restrict performance with larger context sizes. Additionally, the generalizability of our models across different organisms and chemical domains is uncertain due to biases in the training datasets. Metrics like perplexity, commonly used as performance proxies, may not fully capture the true capacity of the models (Appendix [F\)](#page-35-0).

Conclusion. Despite these limitations, Bio-xLSTM demonstrated effectiveness in DNA sequence modeling, performing best in masked and causal language tasks across context sizes. In protein modeling, Bio-xLSTM excelled at long-range modeling, becoming a promising approach for generating homologous proteins. For small molecules, Bio-xLSTM achieved the best FCD in unconditional generation and showed ICL capabilities. We have clarified how to tailor xLSTM for biological and chemical sequences and demonstrated how it competes with other domain-specific models (see Appendix [G\)](#page-35-1). Our findings underpin that Bio-xLSTM is a prime candidate for foundation models in molecular biology.

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Contents

A Related Work

In all three areas, genomics, proteomics, and chemistry, we observe a similar trend that until around 2018 the language models were based on LSTMs (Hochreiter $\&$ Schmidhuber, [1997\)](#page-11-10), then a large amount of models were based on Transformers [\(Vaswani et al.,](#page-14-1) [2017\)](#page-14-1), with different training paradigms and styles, and from 2023 onwards the first state-space models appeared.

Language models for genomic sequence data. DNABERT [\(Ji et al.,](#page-11-5) [2021\)](#page-11-5) and its successor DNABERT-2 [\(Zhou et al.,](#page-15-6) [2024\)](#page-15-6) are Transformer-based models that leverage bidirectional encoder representations and masked language modeling to capture nucleotide context, achieving high performance in tasks like promoter and splice site prediction. LOGO [\(Yang et al.,](#page-15-7) [2022\)](#page-15-7), another Transformer-based model, applies self-supervised learning to the human genome for sequence la-beling and variant prioritization, while VIBE [\(Gwak & Rho,](#page-11-11) [2022\)](#page-11-11) employs a hierarchical BERT model to enhance the detection of eukaryotic viruses in metagenomic data. Models like Looking-Glass [\(Hoarfrost et al.,](#page-11-12) [2022\)](#page-11-12), based on recurrent neural network (RNN), and GPN [\(Benegas et al.,](#page-9-1) [2023\)](#page-9-1), which uses convolutional neural networks (CNNs), are examples of non-Transformer-based approaches, with LookingGlass focusing on microbial genomes and GPN on plant genomes. More recent developments include the nucleotide transformer (NT) [\(Dalla-Torre et al.,](#page-10-3) [2023\)](#page-10-3), a Transformer model trained on the human genome and data from the 1000 Genomes Project, and SpeciesLM [\(Karollus et al.,](#page-11-1) [2024\)](#page-11-1), which trains Transformer-based models on 1500 fungal genomes. The latest advances, represented by Caduceus [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1) based on Mamba [\(Gu & Dao,](#page-11-7) [2023\)](#page-11-7) and HyenaDNA [\(Nguyen et al.,](#page-12-0) [2023\)](#page-12-0), introduce SSMs that allow generative modeling and representation learning for long DNA sequences.

Language models for protein sequence data. Until around 2019, the field was dominated by RNNs and LSTM-based models trained with CLM. Notable examples include UniRep [\(Alley et al.,](#page-9-11) [2019\)](#page-9-11), which employed multiplicative-LSTM to capture rich protein representations, and SSA (Bepler $\&$ [Berger,](#page-9-12) [2019\)](#page-9-12), which used bidirectional RNNs for structural similarity prediction. Since then the field has shifted towards Transformer-based models and MLM, driven by their success in natural language processing. Early adopters of this shift included the TAPE benchmark for protein downstream tasks [\(Rao et al.,](#page-13-7) [2019\)](#page-13-7), which evaluated both an LSTM and a Transformer architecture trained with CLM and MLM, respectively. [Elnaggar et al.](#page-10-7) [\(2021\)](#page-10-7) further expanded the use of Transformers with large-scale MLM, setting new benchmarks in protein sequence analysis with Prot-T5. ESM [\(Rives](#page-13-2) [et al.,](#page-13-2) [2021\)](#page-13-2) applied MLM to a Transformer on a massive scale, capturing evolutionary patterns across diverse protein sequences. Other significant Transformer-based models include MSA-Transformer [\(Rao et al.,](#page-13-8) [2021\)](#page-13-8), which applied MLM to multiple-sequence alignments (MSA), and ProGen [\(Madani et al.,](#page-12-5) [2023\)](#page-12-5), which used CLM and Transformers for controlled protein sequence generation. Additionally, models like ProtGPT2 [\(Ferruz et al.,](#page-10-8) [2022\)](#page-10-8) and ProteinBERT [\(Brandes et al.,](#page-9-13) [2022\)](#page-9-13) utilized the power of Transformer architectures in generating novel protein sequences and functional predictions. Furthermore, [\(Su et al.,](#page-14-9) [2024b\)](#page-14-9) introduced a "structure-aware vocabulary" which they use as input for Transformer-based models. The recently proposed PoET [\(Truong Jr & Bepler,](#page-14-2) [2023\)](#page-14-2) is an autoregressive Transformer model trained on non-aligned homologous sequences, providing a novel approach for conditional protein design and protein fitness prediction. Building on the concept of non-aligned homologous sequences, ProtMamba [\(Sgarbossa et al.,](#page-14-3) [2024\)](#page-14-3) leverages emerging SSMs to manage long-context conditioning on proteins, effectively utilizing autoregressive and FIM strategies. For a more comprehensive review of these advancements, including their applications in functional protein design, see [Notin et al.](#page-12-6) [\(2024\)](#page-12-6) and [Hu et al.](#page-11-13) [\(2022\)](#page-11-13).

Language models for chemical sequence data. The first language model for chemical sequences was an LSTM-based, autoregressive method developed by [Segler et al.](#page-14-4) [\(2018\)](#page-14-4), which demonstrated that the SMILES syntax [\(Weininger,](#page-15-3) [1988\)](#page-15-3) and generation of realistic organic molecules can be learned. [Honda et al.](#page-11-14) [\(2019\)](#page-11-14) introduced a Transformer model for this task, showing that this leads to informative representations of molecules. The Molecular Transformer [\(Schwaller et al.,](#page-14-10) [2019\)](#page-14-10) consists of a Transformer-based encoder and decoder, trained on chemical reaction data to translate between reactants and products. More recently, SSMs have been used for generative modeling of SMILES strings [\(Özçelik et al.,](#page-12-4) [2024\)](#page-12-4). Subsequent models such as MolGPT [\(Bagal et al.,](#page-9-14) [2021\)](#page-9-14) and cMolGPT [\(Wang et al.,](#page-15-8) [2023\)](#page-15-8) utilized the GPT architecture to generate SMILES strings, with MolGPT conditioning on chemical properties and scaffolds, and cMolGPT focusing on biomolecular targets. Transformer-based approaches have also been employed to optimize the properties of small molecules in a reinforcement-learning setting [\(Mazuz et al.,](#page-12-7) [2023\)](#page-12-7). Encoder-style language models

for chemistry, such as SmilesLSTM [\(Mayr et al.,](#page-12-8) [2018\)](#page-12-8), ChemNet [\(Preuer et al.,](#page-13-6) [2018\)](#page-13-6), and CNNbased models [\(Jastrz˛ebski et al.,](#page-11-15) [2016\)](#page-11-15), initially used activity and property prediction as pre-training or training objectives. Later, these encoder-style language models were trained with the masking language modeling objective, as seen in ChemBERTA [\(Chithrananda et al.,](#page-10-9) [2020\)](#page-10-9), Chemberta-2 [\(Ahmad et al.,](#page-9-15) [2022\)](#page-9-15), SMILES-BERT [\(Wang et al.,](#page-15-9) [2019b\)](#page-15-9), MolFormer [\(Ross et al.,](#page-13-9) [2022\)](#page-13-9) and rxnfp-BERT [\(Schwaller et al.,](#page-14-11) [2021\)](#page-14-11). Some models have also adopted contrastive objectives [\(Seidl](#page-14-12) [et al.,](#page-14-12) [2023\)](#page-14-12). Large language models for molecules have also been shown to learn complex molecular distributions [\(Flam-Shepherd et al.,](#page-10-10) [2022\)](#page-10-10). For a more thorough and comprehensive overview, we refer to the surveys by [Bran & Schwaller](#page-9-16) [\(2023\)](#page-9-16) and [Zhang et al.](#page-15-10) [\(2024\)](#page-15-10)

B xLSTM Architecture Details

B.1 sLSTM

The forward pass of the sLSTM forward in the vectorized version is:

$$
c_{t} = \mathbf{f}_{t} \odot c_{t-1} + \mathbf{i}_{t} \odot z_{t}
$$
cell state (3)
\n
$$
n_{t} = \mathbf{f}_{t} \odot n_{t-1} + \mathbf{i}_{t}
$$
normalized state (4)
\n
$$
h_{t} = o_{t} \odot \tilde{h}_{t}, \qquad \tilde{h}_{t} = c_{t} \odot n_{t}^{-1}
$$
hidden state (5)
\n
$$
z_{t} = \varphi(\tilde{z}_{t}), \qquad \tilde{z}_{t} = W_{z} x_{t} + R_{z} h_{t-1} + b_{z}
$$
cell input (6)
\n
$$
\mathbf{i}_{t} = \exp(\tilde{\mathbf{i}}_{t}), \qquad \tilde{\mathbf{i}}_{t} = W_{i} x_{t} + R_{i} h_{t-1} + b_{i}
$$
input gate (7)

$$
\mathbf{f}_t = \exp\left(\mathbf{f}_t\right), \qquad \mathbf{f}_t = \mathbf{W}_1 \mathbf{x}_t + \mathbf{R}_1 \mathbf{h}_{t-1} + \mathbf{v}_1 \qquad \text{input gate} \qquad (7)
$$
\n
$$
\mathbf{f}_t = \exp\left(\tilde{\mathbf{f}}_t\right) \text{ OR } \sigma\left(\tilde{\mathbf{f}}_t\right) \qquad \tilde{\mathbf{f}}_t = \mathbf{W}_t \mathbf{x}_t + \mathbf{R}_t \mathbf{h}_{t-1} + \mathbf{h}_t \qquad \text{forget gate} \qquad (8)
$$

$$
\mathbf{f}_t = \exp\left(\tilde{\mathbf{f}}_t\right) \text{ OR } \sigma\left(\tilde{\mathbf{f}}_t\right) , \quad \tilde{\mathbf{f}}_t = \mathbf{W_f} \ \mathbf{x}_t + \mathbf{R_f} \ \mathbf{h}_{t-1} + \mathbf{b_f} \quad \text{forget gate} \quad (8)
$$

$$
\mathbf{o}_t = \sigma(\tilde{\mathbf{o}}_t) , \qquad \qquad \tilde{\mathbf{o}}_t = \mathbf{W_o} \, \mathbf{x}_t + \mathbf{R_o} \, \mathbf{h}_{t-1} + \mathbf{b_o} \qquad \qquad \text{output gate}, \quad (9)
$$

where $\mathbf{i}_t, \mathbf{o}_t, \mathbf{f}_t \in \mathbb{R}^d$ are the input, output and forget gate, respectively, $W_z, W_i, W_f, W_o \in \mathbb{R}^{d \times D}$, $R_z, R_i, R_f, R_o \in \mathbb{R}^{d \times d}$, and $b_z, b_i, b_f, b_o \in \mathbb{R}^d$ are trainable weight matrices and biases.

B.2 mLSTM

The forward pass of the mLSTM is defined as follows:

$$
\boldsymbol{h}_t = \mathbf{o}_t \odot \tilde{\boldsymbol{h}}_t, \qquad \qquad \tilde{\boldsymbol{h}}_t = \boldsymbol{C}_t \boldsymbol{q}_t / \max\left\{ \left| \boldsymbol{n}_t^\top \boldsymbol{q}_t \right|, 1 \right\} \qquad \qquad \text{hidden state} \qquad (12)
$$

$$
q_t = W_q x_t + b_q \qquad \qquad \text{query input} \qquad (13)
$$

$$
k_t = \frac{1}{\sqrt{d}} W_k x_t + b_k
$$
 key input (14)

$$
v_t = W_v x_t + b_v \t\t value input \t\t (15)
$$

$$
i_t = \exp\left(\tilde{i}_t\right) , \qquad \qquad \tilde{i}_t = \boldsymbol{w}_i^\top \boldsymbol{x}_t + b_i \qquad \qquad \text{input gate} \qquad (16)
$$

$$
\mathbf{f}_t = \sigma\left(\tilde{\mathbf{f}}_t\right) \text{ OR } \exp\left(\tilde{\mathbf{f}}_t\right), \quad \tilde{\mathbf{f}}_t = \boldsymbol{w}_t^\top \boldsymbol{x}_t + b_f \qquad \text{forget gate} \qquad (17)
$$

$$
\mathbf{o}_t = \sigma(\tilde{\mathbf{o}}_t) , \qquad \qquad \tilde{\mathbf{o}}_t = \mathbf{W_o} \, \mathbf{x}_t + \mathbf{b_o} \qquad \qquad \text{output gate} \qquad (18)
$$

where $i_t, o_t, f_t \in \mathbb{R}$ are the input, output and forget gate, respectively, $q_t, k_t, v_t \in \mathbb{R}^d$ are query, key and value inputs with trainable weight matrices $W_q, W_k, W_v \in \mathbb{R}^{d \times D}$, $w_i, w_f \in \mathbb{R}^D$ are input and forget gate weights and the respective $b_i, b_f \in \mathbb{R}$ biases. All other quantities are identical to sLSTM.

B.3 xLSTM and Bio-xLSTM Blocks

[Beck et al.](#page-9-7) [\(2024\)](#page-9-7) suggested xLSTM blocks, which are residual [\(Srivastava et al.,](#page-14-13) [2015;](#page-14-13) [He et al.,](#page-11-16) [2016\)](#page-11-16) block modules, into which the sLSTM and mLSTM layers can be integrated. The two basic blocks can in principle be characterized by either applying post-sLSTM/mLSTM up- and downprojections (similar to [Vaswani et al.](#page-14-1) [\(2017\)](#page-14-1)) or by applying pre-sLSTM/mLSTM up-projections and post-sLSTM/mLSTM down-projections (similar to [Dao](#page-10-11) [\(2024\)](#page-10-11)). An sLSTM block integrates the sLSTM layer into the up- and down-projection block, while the mLSTM block integrates the mLSTM layer into the pre-up-projection and post-down-projection block. The two basic xLSTM blocks also make use of neural network modules like layer normalization [\(Ba et al.,](#page-9-17) [2016\)](#page-9-17), short causal convolutions, and, group normalization (Wu $\&$ He, [2020\)](#page-15-11). For the exact architecture of the blocks, we refer to [Beck et al.](#page-9-7) [\(2024,](#page-9-7) Sec.2.4). An xLSTM architecture is constructed by residually stacking the suggested xLSTM blocks. For that, the most commonly used pre-LayerNorm residual backbone is used.

For Bio-xLSTM we keep the basic xLSTM building blocks and the basic xLSTM architecture template, but adjust them to the respective domains. Figure [A1](#page-20-1) depicts sLSTM and mLSTM blocks, as well as, a bidirectional mLSTM configuration with weight-tied layers.

Figure A1: xLSTM and Bio-xLSTM blocks. Left: mLSTM block. *LN* (Layer Normalization) and *GN* (Group Normalization) refer to normalization modules, while *L Skip* represents learnable skip connections and *Conv* denotes causal 1D convolutions. The mLSTM block utilizes a gated pre-upprojection structure, akin to modern state-space models, with gates activated by the Swish function. Middle: sLSTM block. The sLSTM block features a GELU-gated post-up-projection structure, similar to Transformer architectures. Right: Bidirectional mLSTM block. For bidirectional processing, the xLSTM applies each block to the input sequence twice before combining the outputs: once left-to-right and once right-to-left.

B.4 Modes of Operation: Parallel, Chunkwise or Recurrent

Similar to linear attention variants [\(Katharopoulos et al.,](#page-11-8) [2020;](#page-11-8) [Yang et al.,](#page-15-4) [2024\)](#page-15-4), the mLSTM has three possible forms: parallel, recurrent or chunkwise. The presentation in section [B.2](#page-19-1) (and [Beck](#page-9-7) [et al.,](#page-9-7) [2024\)](#page-9-7) focuses on the recurrent form:

$$
C_t = \sigma(\tilde{f}_t) C_{t-1} + \exp(\tilde{i}_t) \odot \boldsymbol{v}_t \boldsymbol{k}_t^{\mathsf{T}}
$$

$$
\boldsymbol{n}_t = \sigma(\tilde{f}_t) \, \boldsymbol{n}_{t-1} + \exp(\tilde{i}_t) \, \boldsymbol{k}_t
$$

$$
\boldsymbol{h}_t = \sigma(\tilde{\boldsymbol{o}}_t) \odot \frac{C_t \boldsymbol{q}_t}{\max(|\boldsymbol{n}_t \boldsymbol{q}_t|, 1)}.
$$

This form is especially useful for inference when samples arrive one time-step at a time.

The omission of the recurrent connections in mLSTM allows for a parallel implementation [\(Beck](#page-9-7) [et al.,](#page-9-7) [2024,](#page-9-7) Appendix):

$$
\tilde{F} = \begin{bmatrix}\n0 & 0 & 0 & \cdots & 0 \\
\ln \sigma(\tilde{f}_2) & 0 & 0 & \cdots & 0 \\
\ln \sigma(\tilde{f}_2) + \ln \sigma(\tilde{f}_3) & \ln \sigma(\tilde{f}_3) & 0 & \cdots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
\sum_{t=2}^T \ln \sigma(\tilde{f}_t) & \sum_{t=3}^T \ln \sigma(\tilde{f}_t) & \sum_{t=4}^T \ln \sigma(\tilde{f}_t) & \cdots & 0\n\end{bmatrix}
$$
\n
$$
D = \exp(\tilde{F} + 1 \otimes \tilde{i}) \odot M
$$
\n
$$
H = \sigma(\tilde{O}) \odot \frac{D \odot QK^{\mathsf{T}}}{\max((D \odot QK^{\mathsf{T}}) \cdot 1, 1)} V,
$$

where $Q, K, V, \tilde{O} \in \mathbb{R}^{T \times d}, \tilde{i} \in \mathbb{R}^{T}$ and $M \in \{0, 1\}^{T \times T}$ is a causal (i.e. lower-triangular) masking matrix. The ⊗ refers to an outer product, while ⊙ is a Hadamard (i.e. element-wise) product. The fraction, max and other non-linear functions are also applied element-wise. This parallel form enables an efficient training regime, similar to Transformers.

The chunkwise implementation is a hybrid of the recurrent and parallel forms:

$$
\tilde{\mathbf{F}} = \begin{bmatrix}\n0 & 0 & 0 & \dots & 0 \\
\ln \sigma(\tilde{f}_{t-C+2}) & 0 & 0 & \dots & 0 \\
\ln \sigma(\tilde{f}_{t-C+2}) + \ln \sigma(\tilde{f}_{t-C+3}) & \ln \sigma(\tilde{f}_{t-C+3}) & 0 & \dots & 0 \\
0 & 0 & \dots & 0 & \dots & 0\n\end{bmatrix}
$$

$$
\begin{bmatrix}\n\vdots & \vdots & \vdots \\
\sum_{\tau=2}^{C} \ln \sigma(\tilde{f}_{t-C+\tau}) & \sum_{\tau=3}^{C} \ln \sigma(\tilde{f}_{t-C+\tau}) & \sum_{\tau=4}^{C} \ln \sigma(\tilde{f}_{t-C+\tau}) & \dots & 0\n\end{bmatrix}
$$

$$
D = \exp(\tilde{F} + 1 \otimes \tilde{i}) \odot M
$$

\n
$$
f = \left(\sigma(\tilde{f}_{t-C+1}), \sigma(\tilde{f}_{t-C+1}) \sigma(\tilde{f}_{t-C+2}), \dots, \prod_{\tau=1}^{C} \sigma(\tilde{f}_{t-C+\tau})\right)
$$

\n
$$
H = \sigma(\tilde{O}) \odot \frac{(D \odot QK^{\top}) V + \text{diag}(f) QC_{t-C}^{\top}}{\max(|(D \odot QK^{\top}) \cdot 1 + \text{diag}(f) Qn_{t-C}|, 1)}
$$

\n
$$
C_{t} = \left(\prod_{\tau=1}^{C} \sigma(\tilde{f}_{t-C+\tau})\right) C_{t-C} + V^{\top} \text{diag}(d_{C}) K
$$

\n
$$
n_{t} = \left(\prod_{\tau=1}^{C} \sigma(\tilde{f}_{t-C+\tau})\right) n_{t-C} + K^{\top} d_{C},
$$

with $Q, K, V, \tilde{O} \in \mathbb{R}^{C \times d}$ and $\tilde{i} \in \mathbb{R}^C$ the pre-activations from $t - C + 1$ to t. Furthermore, $M \in \{0,1\}^{C \times C}$, is a local causal (i.e. lower-triangular) masking matrix, d_C denotes the last row of D, diag transforms a vector into a diagonal matrix, and C is the chunk size. For $C = 1$, we recover the recurrent form, whereas for $C = \overline{T}$, we obtain the parallel form.

B.5 Efficient Bidirectional Modeling for Weight-Tied Layers of Bio-xLSTM

Bidirectional modeling is often required to learn representations of biological and chemical sequences, for example with the MLM paradigm. The default approach for bidirectional modeling would be to use an mLSTM layer on the usual sequence $X_{1:T} = (x_1, x_2, \ldots, x_T)$ and then applying a weighttied layer on the reversed sequence $X_{T:1} = (x_T, x_{T-1}, \ldots, x_1)$ and subsequently summing those outputs:

$$
H^{+} = \text{mLSTM}(X_{1:T}; w)
$$
\n(19)

$$
H^{-} = \text{mLSTM}(X_{T:1}; w)
$$
\n(20)

$$
H = H^+ + H^-_{T:1},\tag{21}
$$

where $H_{T:1}^-$ indicates that the sequence is reversed again, and w are the parameters of the LSTMlayer mLSTM($X_{1:T}$; w) which are assumed to be the same for both directions, i.e. weight-tied. This approach is schematically depicted in Figure [A1](#page-20-1) (Right). However, this approach is inefficient with respect to memory and operations because it has to calculate and store all internal quantities, such as the cell states, twice for the backward pass. A variant of this approach is to perform the forward direction in one block (Eq. [19\)](#page-21-1) and the reverse direction in a consecutive block (Eq. [20\)](#page-21-2) of the architecture [\(Alkin et al.,](#page-9-18) [2024\)](#page-9-18).

We propose an efficient bidirectional modeling approach. Because of the parallelism of mLSTM and its gates depending only on the current time step, the weighted cumulative sum required for the cell state (Eq. [10\)](#page-19-4), can be done backwards to obtain the representations for the reversed sequence

$$
C_t^+ = f_t C_{t-1}^+ + i_t v_t \, k_t^\top \qquad \qquad C_t^- = f_t C_{t+1}^- + i_t v_t \, k_t^\top \qquad (22)
$$

$$
n_t^+ = f_t n_{t-1}^+ + i_t k_t \qquad \qquad n_t^- = f_t n_{t+1}^- + i_t k_t. \tag{23}
$$

The resulting $h_t = h_t^+ + h_t^-$ is a bidirectional representation of the input sequence, whereby this variant is more efficient with respect to memory usage because of shared quantities. Note that the two variants, the default approach, and the efficient approach, are mathematically equivalent.

C DNA-xLSTM: Details and Additional Results

In this section, we provide further details regarding the architecture, training setup, and evaluation metrics for the DNA-xLSTM models.

C.1 Pre-Training

Experimental setup. We followed the experimental protocol established in [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1) and [Nguyen et al.](#page-12-0) [\(2023\)](#page-12-0). The human reference genome [\(Church et al.,](#page-10-6) [2011\)](#page-10-6) was used as the training dataset for two main tasks: **a**) causal language modeling (CLM) and **b**) masked language modeling (MLM). We employed context lengths of 1,024 and 32,000 tokens for these tasks.

To ensure a fair comparison with previous methods, such as [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1), we used characteror base pair-level tokenization, training models with parameter sizes ranging from 500k to 4M. This experimental setup enabled us to evaluate model performance for both a) generative modeling of DNA sequences and **b**) learning rich DNA sequence representations—core tasks in this domain.

Methods and hyperparameters. In our pre-training experiments, we compared several architectures: a Transformer variant based on the Llama architecture, referred to as Transformer++ [\(Touvron](#page-14-8) [et al.,](#page-14-8) [2023\)](#page-14-8), DNA-xLSTM, HyenaDNA [\(Nguyen et al.,](#page-12-0) [2023\)](#page-12-0), and DNA-Mamba (also known as Caduceus) [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1). Each architecture was trained under both CLM and MLM settings. Additionally, we assessed two types of reverse-complement (RC) equivariant models when applicable: DNA-Mamba-PH and DNA-Mamba-PS, as well as DNA-xLSTM-PH and DNA-xLSTM-PS. For non-equivariant models, reverse-complement augmentation was applied, following the approach described in [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1). Further details on RC-equivariant modeling can be found in Section [C.4.](#page-24-0) The hyperparameters for DNA-xLSTM and Transformer++ were optimized using a validation set, with the final configurations reported in Appendix Tables [A4](#page-26-0) and [A3.](#page-24-2)

Metrics. We report cross-entropy loss on a held-out test set for both CLM and MLM pre-training experiments.

Results. Our experiments show that the sLSTM-based DNA-xLSTM-2M model, trained with a context size of 1,024 and reverse-complement augmentation, outperforms DNA-Mamba [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1), HyenaDNA [\(Nguyen et al.,](#page-12-0) [2023\)](#page-12-0), and Transformer++ across both CLM and MLM tasks. Notably, the performance gap between DNA-xLSTM and the baseline models increases in the MLM setting. See Figure [2.](#page-5-1)

We further enhanced DNA-xLSTM-500k and DNA-xLSTM-2M models by incorporating reversecomplement equivariance via parameter sharing. For smaller models, we achieved MLM losses comparable to DNA-Mamba-PS, with a significant improvement over DNA-Mamba-PS as model size scaled to 2M parameters (Figure [A3\)](#page-25-1). Additionally, we pre-trained a long-range DNA-xLSTM model based on mLSTM, with a context size of 32k, using both CLM and MLM objectives. This model achieved the lowest cross-entropy loss in both tasks, outperforming Transformers and HyenaDNA, while performing comparably to Mamba (Figure [A2\)](#page-23-0).

C.2 Downstream Tasks

Experimental setup. Two sets of downstream tasks were used for evaluating the learned representations: the Genomic benchmark [\(Grešová et al.,](#page-11-9) [2023\)](#page-11-9) and the Nucleotide Transformers Tasks [\(Dalla-Torre et al.,](#page-10-3) [2023\)](#page-10-3), which is a collection of 18 datasets derived from five peer-reviewed studies [\(Phaml et al.,](#page-13-10) [2005;](#page-13-10) [Oubounyt et al.,](#page-12-9) [2019;](#page-12-9) [Wang et al.,](#page-14-14) [2019a;](#page-14-14) [Scalzitti et al.,](#page-13-11) [2021;](#page-13-11) [Geng et al.,](#page-10-12) [2022\)](#page-10-12). These classification tasks were selected to determine how rich the learned representations of the architectures are. To extract representations from the pre-trained xLSTM-DNA models, we perform average pooling on the activations from the final xLSTM block. For each downstream dataset, these representations served as inputs to a task-specific classification head that were jointly fine-tuned with the pre-trained model parameters.

Methods and hyperparameters. For Nucleaotide Transformer tasks, we compared HyenaDNA, DNA-Mamba, and xLSTM-based models pre-trained with 2M parameters. For Genomic benchmark tasks, we compare the smaller xLSTM-500k against Mamba. In both settings, models were pre-trained with a context size of 1,024.

Figure A2: Pre-training of 4M-parameter DNA models on the human reference genome (GRCh38). The models are trained on the human reference genome at single-nucleotide resolution with a context length of 32k bases. Left: causal language modeling. Learning curves display CLM loss (\downarrow) on a held-out test set, plotted against the number of tokens processed. Right: masked language modeling. Learning curves for bidirectional models trained with the **MLM** objective (\downarrow) . The DNA-xLSTM-4M model outperforms both Transformer++ and Hyena-DNA models of similar size, and matches the performance of Caduceus-4M.

Table A1: Downstream adaption of DNA models (extended version). The test set performance of DNA models with 2M parameters and models with over 100M parameters, fine-tuned on Nucleotide Transformer classification tasks, is shown. Models marked with PS or PH are trained to be RC equivariant. The used metric is provided in the *Metric* column and best values are highlighted in green Results are averaged over 10 random seeds, with error bars representing the difference between the maximum and minimum values across the runs. The best scores are highlighted in green. xLSTM-DNA-PH with 2M parameters outperforms similarly sized Hyena- and Mamba-based models, while achieving comparable results to the much larger Nucleotide Transformer. Scores for all models except xLSTM were obtained from [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1).

Task	Metric		> 100M Param. Models				2M Param. Models		
		Enformer (252M)	DNABERT-2 (117M)	NT-v2 (500M)	HyenaDNA	Mamba-PS	Mamba-PH	xLSTM-PS	xLSTM-PH
Histone Markers									
H ₃	$MCC \uparrow$	$0.719^{\pm0.048}$	$0.785^{\pm0.033}$	$0.784^{\pm0.047}$	$0.779^{\pm0.037}$	$0.799^{\pm0.029}$	$0.815^{\pm0.048}$	$0.796^{\pm0.014}$	$0.824^{\pm0.010}$
H3K14AC	$MCC \uparrow$	$0.288^{\pm0.077}$	$0.516^{\pm0.028}$	$0.551^{\pm0.021}$	$0.612^{\pm0.065}$	$0.541^{\pm0.212}$	$0.631^{\pm0.026}$	$0.570^{\pm0.008}$	$0.598^{\pm0.017}$
H3K36ME3	$MCC \uparrow$	$0.344^{\pm0.055}$	$0.591^{\pm0.020}$	$0.625^{\pm0.030}$	$0.613^{\pm0.041}$	$0.609^{\pm0.109}$	$0.601^{\pm0.129}$	$0.588^{\pm0.019}$	$0.625^{\pm0.010}$
H3K4ME1	$MCC \uparrow$	$0.291^{\pm0.061}$	$0.511^{\pm0.028}$	$0.550^{\pm0.021}$	$0.512^{\pm0.024}$	$0.488^{\pm0.102}$	$0.523^{\pm0.039}$	$0.490^{\pm0.012}$	$0.526^{\pm0.001}$
H3K4ME2	$MCC \uparrow$	$0.211^{\pm0.069}$	$0.336^{\pm0.040}$	$0.319^{\pm0.045}$	$0.455^{\pm0.095}$	$0.388^{\pm0.101}$	$0.487^{\pm0.170}$	$0.489^{\pm0.024}$	$0.504^{\pm0.012}$
H3K4ME3	MCC 1	$0.158^{\pm0.072}$	$0.352^{\pm0.077}$	$0.410^{\pm0.033}$	$0.549^{\pm0.056}$	$0.440^{\pm0.202}$	$0.544^{\pm0.045}$	$0.520^{\pm0.019}$	$0.537^{\pm0.012}$
H3K79ME3	$MCC \uparrow$	$0.496^{\pm0.042}$	$0.613^{\pm0.030}$	$0.626^{\pm0.046}$	$0.672^{\pm0.048}$	$0.676^{\pm0.026}$	$0.697^{\pm0.077}$	$0.662^{\pm0.011}$	$0.697^{\pm0.007}$
H3K9AC	MCC 1	$0.420^{\pm0.063}$	$0.542^{\pm0.029}$	$0.562^{\pm0.040}$	$0.581^{\pm0.061}$	$0.604^{\pm0.048}$	$0.622^{\pm0.030}$	$0.622^{\pm0.013}$	$0.627^{\pm0.008}$
H ₄	$MCC \uparrow$	$0.732^{\pm0.076}$	$0.796^{\pm0.027}$	$0.799^{\pm0.025}$	$0.763^{\pm0.044}$	$0.789^{\pm0.020}$	$0.811^{\pm0.022}$	$0.793^{\pm0.011}$	$0.813^{\pm0.008}$
H ₄ AC	MCC 1	$0.273^{\pm0.063}$	$0.463^{\pm0.041}$	$0.495^{\pm0.032}$	$0.564^{\pm0.038}$	$0.525^{\pm0.240}$	$0.621^{\pm0.054}$	$0.558^{\pm0.018}$	$0.583^{\pm0.014}$
Regulatory Annotation									
Enhancer	$MCC \uparrow$	$0.451^{\pm0.108}$	$0.516^{\pm0.098}$	$0.548^{\pm0.144}$	$0.517^{\pm0.117}$	$0.491^{\pm0.066}$	$0.546^{\pm0.073}$	$0.375^{\pm0.030}$	$0.545^{\pm0.024}$
Enhancer Types	$MCC \uparrow$	$0.309^{\pm0.134}$	$0.423^{\pm0.051}$	$0.424^{\pm0.132}$	$0.386^{\pm0.185}$	$0.416^{\pm0.095}$	$0.439^{\pm0.054}$	$0.444^{\pm0.046}$	$0.466^{\pm0.011}$
Promoter: All	$F1 \uparrow$	$0.954^{\pm0.006}$	$0.971^{\pm0.006}$	$0.976^{\pm0.006}$	$0.960^{\pm0.005}$	$0.967^{\pm0.004}$	$0.970^{\pm0.004}$	$0.962^{\pm0.002}$	$0.967^{\pm0.001}$
NonTATA	$F1 \uparrow$	$0.955^{\pm0.010}$	$0.972^{\pm0.005}$	$0.976^{\pm0.006}$	$0.959^{\pm0.011}$	$0.968^{\pm0.006}$	$0.968^{\pm0.010}$	$0.963^{\pm0.002}$	$0.970^{\pm0.001}$
TATA	$F1 \uparrow$	$0.960^{\pm0.023}$	$0.955^{\pm0.021}$	$0.966^{\pm0.013}$	$0.944^{\pm0.040}$	$0.957^{\pm0.015}$	$0.953^{\pm0.016}$	$0.948^{\pm0.006}$	$0.952^{\pm0.005}$
Splice Site Annotation									
All	Accuracy \uparrow	$0.848^{\pm0.019}$	$0.939^{\pm0.009}$	$0.983^{\pm0.008}$	$0.956^{\pm0.011}$	$0.927^{\pm0.021}$	$0.940^{\pm0.027}$	$0.965^{\pm0.006}$	$0.974^{\pm0.004}$
Acceptor	$F1 \uparrow$	$0.914^{\pm0.028}$	$0.975^{\pm0.006}$	$0.981^{\pm0.011}$	$0.958^{\pm0.010}$	$0.936^{\pm0.077}$	$0.937^{\pm0.033}$	$0.970^{\pm0.005}$	$0.953^{\pm0.008}$
Donor	$F1 \uparrow$	$0.906^{\pm0.027}$	$0.963^{\pm0.006}$	$0.985^{\pm0.022}$	$0.949^{\pm0.024}$	$0.948^{\pm0.025}$	$0.874^{\pm0.289}$	$0.962^{\pm0.004}$	$0.951^{\pm0.005}$

Metrics. For the Nucleotide Transformer downstream tasks different metrics are used depending on the type of task: MCC was used for histone markers and enhancer annotation, F1-score was used for promoter annotation and splice site acceptor/donor, and accuracy was used for the splice site. The downstream performance on the Genomic benchmark was evaluated using the Top-1 accuracy.

Results. On the extensive set of downstream tasks, DNA-xLSTM is the best model with fewer than 2M parameters outperforming other small models on 12 of 18 tasks. In a comparison with much larger models, DNA-xLSTM and is on par with the 500M parameter model Nucleotide Transformer (NT-v2) winning 8 of 18 tasks (see Table [A1\)](#page-23-1). On the Genomic benchmark, DNA-xLSTM is overall on par with Mamba-DNA and shows especially strong results with posthoc conjoining, winning 5 of 8 tasks compared to Mamba-DNA-PH. Results are reported in Table [A2.](#page-24-3)

Table A2: Downstream adaption of DNA language models on the Genomics Benchmarks. The Top-1 accuracy (↑) for RC-equivariant PS and PH xLSTM and Mamba-based Caduceus models, both with 500k parameters, are shown. Error bars represent the range of scores across five random seeds. xLSTM achieves comparable overall performance to Mamba and demonstrates superior accuracy when both models employ post-hoc conjoining. Scores for all models except xLSTM were obtained from [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1).

	Mamba-PH-500k	xLSTM-PH-500k	Mamba-PS-500k	xLSTM-PS-500k
Mouse Enhancers	$0.754^{\pm0.074}$	$0.780^{\pm0.018}$	$0.793^{\pm0.058}$	$0.778^{\pm0.007}$
Coding. vs. Intergenomic	$0.915^{\pm0.003}$	$0.931^{\pm0.001}$	$0.910^{\pm0.003}$	$0.934^{\pm0.002}$
Human vs. Worm	$0.973^{\pm0.001}$	$0.965^{\pm0.001}$	$0.968^{\pm0.002}$	$0.956^{\pm0.001}$
Human Enhancers Cohn	$0.747^{\pm0.004}$	$0.742^{\pm0.005}$	$0.745^{\pm0.007}$	$0.734^{\pm0.005}$
Human Enhancers Ensemble	$0.893^{\pm0.008}$	$0.920^{\pm0.001}$	$0.900^{\pm0.006}$	$0.902^{\pm0.004}$
Human Regulatory	$0.872^{\pm0.011}$	$0.872^{\pm0.002}$	$0.873^{\pm0.007}$	$0.869^{\pm0.005}$
Human OCR Ensembl	$0.828^{\pm0.006}$	$0.826^{\pm0.002}$	$0.818^{\pm0.006}$	$0.800^{\pm0.002}$
Human NonTATA Promoters	$0.946^{\pm0.007}$	$0.951^{\pm0.004}$	$0.945^{\pm0.010}$	$0.949^{\pm0.001}$

Table A3: Pre-training hyperparameters for DNA-Transformer++ models with 2M and 4M parameters. Comma-separated values represent hyperparameter sweeps, with the chosen values indicated in bold.

C.3 Architecture and Hyperparameters

The hyperparameters and composition of the DNA-xLSTM and DNA-Transformer++ models for pre-training with context size 1k and 32k are reported in Tables [A4](#page-26-0) and [A3.](#page-24-2) The hyperparameters were selected on a separate validation set using manual hyperparameter selection due to limited computational resources.

C.4 Reverse-Complement Invariance

We develop an xLSTM version that is invariant to the RC of an input sequence which is relevant for DNA applications following [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1). In double-helix DNA structures, both strands are semantically equivalent, as one strand is the RC of the other. Given a strand, \square , its RC, $\overline{\square}$, is oriented in the opposite direction with a base conversion from A to T and C to G [\(Schiff et al.,](#page-13-1) [2024\)](#page-13-1). [Shrikumar et al.](#page-14-6) [\(2017\)](#page-14-6) show that a data-driven approach to learning the equivalence between reversecomplement sequences can fail, which is why [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1) propose to enforce RC-equivariance by design, making use of two different inductive biases in the model architecture: PH [\(Zhou et al.,](#page-15-5) [2022\)](#page-15-5) and PS. For PH models, sequence-to-sequence models — in our case realized by the xLSTM learn to handle both DNA sequences and their RC during pre-training by applying RC augmentation to the inputs. RC augmentation refers to the process of randomly replacing input sequences by their RCs. For downstream tasks PH models are applied once to the original sequence and once to the RC and eventually outputs are summed:

$$
Y = \mathrm{xLSTM}(X) + \mathrm{xLSTM}(\overline{X}).\tag{24}
$$

For PS models — we assume models are realized by xLSTM architectures and therefore a block refers to a single mLSTM or sLSTM block — both the DNA sequence and its RC are provided simultaneously to each block in the architecture (for both pre-training and downstream task finetuning). Precisely, a joint representation, originating from combining a sequence representation and its RC representation, is split into $X \in \mathbb{R}^{D \times t}$ and $\overline{X} \in \mathbb{R}^{D \times t}$ and fed into the mLSTM or sLSTM

Figure A3: Pre-training of RC-equivariant xLSTM-DNA-PS and Caduceus-PS models with 500k and 2M parameters trained on the human reference genome. Models were trained on 1k context windows using the MLM objective. Left: MLM losses (\downarrow) for models with 500k parameters. Right: MLM losses (↓) for models in the 2M parameter range. DNA-xLSTM-PS outperforms Caduceus-PS in both settings, with the performance gap widening at larger scales.

block:

$$
[\mathbf{H}, \overline{\mathbf{H}}] = [\text{block}(\mathbf{X}), \text{RC}(\text{block}(\text{RC}(\overline{\mathbf{X}})))] \,. \tag{25}
$$

Notably, for each block the reverse-complement input is built by the RC-function which flips both dimensions of \overline{X} and $[\cdot, \cdot]$ indicates concatenation along the first dimension. Eventually, logits for the input sequence and its reverse complement are combined. For more details, we refer to [Schiff](#page-13-1) [et al.](#page-13-1) [\(2024\)](#page-13-1).

C.5 Implementation Details

For both CLM and MLM pre-training we perform 10,000 update steps holding the number of tokens per step constant at 2^{20} . CLM models are trained using autoregressive next-token prediction. For MLM pre-training, we follow the methodology presented by [Devlin et al.](#page-10-5) [\(2019\)](#page-10-5), where 15% of the input tokens are masked and the model is tasked to predict the corrupted tokens. Concretely, 80% of the masked tokens are replaced by a special [MASK] token, 10% are replaced by random tokens sampled from the vocabulary and 10% remain unchanged. For MLM settings, we use weight-tied bidirectionality as a default (see Section [B.5\)](#page-21-0). For long-context bidirectional modeling, we use unidirectional xLSTM cells and alternate the modeling direction at each block.

To fine-tune pre-trained models on downstream tasks, we follow the framework from [Schiff et al.](#page-13-1) [\(2024\)](#page-13-1). Pre-trained models are augmented with a task-specific classification head, which is trained on average-pooled activations from a model's final block. During fine-tuning, all model parameters are unfrozen. For the Genomic benchmark, we perform five randomly seeded train-validation splits, fine-tune models for 10 epochs, and use early-stopping on validation performance. Final test results are reported as the mean performance \pm max/min over the 5 seeds on a held-out test set. For the Nucleotide Transformer tasks, we use 20 epochs and 10 seeds. For both the Genomic benchmarks and the Nucleotide Transformer tasks, we performed a hyperparameter search for both PH and PS models over batch sizes $\{64, 128, 256, 512\}$, and learning rates $\{4e-4, 6e-4, 8e-4, 1e-3, 2e-3\}$. The best results for each Nucleotide Transformer task can be found in Table [A5.](#page-26-1)

Table A4: Pre-training hyperparameters of DNA-xLSTM Models from 500k to 4M parameters. Comma-separated values represent hyperparameter sweeps, with the chosen values indicated in bold.

Hyperparameters	DNA-xLSTM-500k	DNA-xLSTM-2M	DNA-xLSTM-4M
Embedding Dimension	128	256	256
Number of Blocks	5	6	9
Conv 1D Kernel Size	4	4	4
Number of Heads	4	4	4
Up-Projection Ratio	1.25:1	1:1	2:1
Bidirectionality	alternating, blockwise	alternating, blockwise	alternating, native, blockwise
Norm Bias and Linear Bias	true, false	true, false	true
QKV Projection Blocksize			
m/sLSTM ratio	$[0:1]$, $[1:0]$	$[0:1]$, $[1:0]$	$[0:1]$, $[1:0]$
Context Length	1,024	1,024	32,768
Position Embeddings	None	None	RoPE
Optimizer	AdamW $\beta = (0.9, 0.95)$	AdamW $\beta = (0.9, 0.95)$	AdamW $\beta = (0.9, 0.95)$
Learning Rate	$6e-3$, $8e-3$, $1e-2$	$6e-3$, $8e-3$, $1e-2$	$6e-3$, $8e-3$, $1e-2$
Learning Rate Schedule	Cosine Decay	Cosine Decay	Cosine Decay
Learning Rate Warmup Steps	1,000	1,000	1,000
Weight Decay	0.1	0.1	0.1
Dropout	Ω	Ω	Ω
Batch Size	1.024	1,024	32
Update Steps	10,000	10,000	10.000

Table A5: Hyperparameter selection for DNA-xLSTM-PS and DNA-xLSTM-Ph on Nucleotide Transformer tasks. Fine-tuning hyperparameters were chosen based on best scores averaged over ten train-validation splits.

	DNA-xLSTM-Ph		DNA-xLSTM-PS	
	Learning Rate	Batch Size	Learning Rate	Batch Size
Histone Markers				
H ₃	$8e-4$	128	$4e-4$	64
H3K14AC	$6e-4$	128	$4e-4$	64
H3K36ME3	$6e-4$	64	$4e-4$	64
H3K4ME1	$8e-4$	128	$1e-3$	128
H3K4ME2	$6e-4$	64	$2e-3$	512
H3K4ME3	$8e-4$	128	$1e-3$	512
H3K79ME3	$1e-3$	128	$4e-4$	64
H3K9AC	$4e-4$	64	$1e-3$	128
H ₄	$8e-4$	64	$6e-4$	64
H ₄ AC	$4e-4$	64	$1e-3$	128
Regulatory Annotation				
Enhancers	$2e-3$	512	$2e-3$	512
Enhancers Types	$2e-3$	512	$2e-3$	512
Promoter All	$4e-4$	64	$1e-3$	128
Promoter No TATA	$1e-3$	128	$1e-3$	128
Promoter TATA	$3e-3$	128	$1e-3$	128
<i>Splice Site Annotation</i>				
Splice Sites All	$8e-4$	64	$2e-3$	128
Splice Sites Acceptor	$2e-3$	128	$2e-3$	128
Splice Sites Donors	$3e-3$	128	$2e-3$	128

D Prot-xLSTM: Details and Additional Results

In this section, we provide further details regarding the architecture, training setup, and evaluation metrics for the Prot-xLSTM models. Additionally, we present supplementary results that complement the main findings discussed in Section [4.2.](#page-5-0)

To evaluate the performance of our Prot-xLSTM models, we adopted the experimental protocols outlined in [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3). We conducted three key experiments to assess the models' capabilities: a) protein language modeling (Section [D.1\)](#page-27-0), b) homology-conditioned protein design (Section [D.2\)](#page-28-0), and c) protein variant fitness prediction (Section [D.3\)](#page-29-1).

D.1 Homology-Aware Training

For protein sequences, we followed the experimental protocols from [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3).

Data. The protein language model training data was derived from the filtered OpenProteinSet [\(Ahdritz](#page-9-9) [et al.,](#page-9-9) [2023\)](#page-9-9), comprising 270k UniClust30 MSA clusters that included a total of 508M sequences and 110B residues. We used the ProtMamba pipeline to construct the training data, which is illustrated in Fig. 1 of [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3), and involved two key steps: (i) creating homology-aware but alignment-free training inputs by concatenating unaligned homologous sequences, and (ii) masking patches of tokens in each sequence and concatenating the unmasked patches at the end of each sequence to train the model autoregressively with the FIM strategy. We also use the train, validation (192 clusters), and test (500 clusters) split provided by ProtMamba.

Methods and hyperparameters. We trained two versions of the model: Prot-xLSTM-26M and Prot-xLSTM-102M. The larger model was designed to match the architecture and size of the original ProtMamba model (ProtMamba-107M), and we optimized the xLSTM architecture on the smaller model. For comparison, we also trained a smaller ProtMamba model (ProtMamba-28M with a reduced embedding dimension of 512) and implemented an LLama-based model (Prot-Transformer++-26M) [\(Touvron et al.,](#page-14-8) [2023\)](#page-14-8). The composition of the Prot-xLSTM and Prot-Transformer++ models are reported in Tables [A6](#page-27-2) and [A7,](#page-28-1) respectively.

Table A6: Hyperparameter space considered for the Prot-xLSTM at different sizes. The selected values are marked in bold.

^a Context length was increased during training.

^b sLSTM blocks at position 1 and 15.

Training details. We trained our models using the ProtMamba pipeline with CLM with the FIM strategy. The pipeline efficiently handles long, concatenated sequences by extending the context length up to $T = 2^{17}$, supported by a context-length scheduling strategy. For the Prot-xLSTM-102M model, we adhered to the ProtMamba protocol, gradually increasing the context length from 2^{11} to 2^{17} , doubling T at each stage when the loss plateaued. In contrast, for the smaller models (ProtxLSTM-26M and ProtMamba-28M), as recommended in previous work [\(Devlin et al.,](#page-10-5) [2019;](#page-10-5) [Press](#page-13-12) [et al.,](#page-13-12) [2021\)](#page-13-12), we initially trained with $T = 2^{11}$ for 20B tokens, then switched to $T = 2^{17}$ for an additional 10B tokens. Due to the quadratic scaling of Transformer architectures, Prot-Transformer++- 26M was only trained with $T = 2^{11}$, as it could not handle the computational demands of $T = 2^{17}$.

Hyperparameter	Prot-Transformer++-26M
Embedding Dimension	512
Context Length	2^{11}
Number of Blocks	6
Up Projection Dimension	2176
Norm Bias and Linear Bias	False
Position Embeddings	RoPE

Table A7: Hyperparameters of Prot-Transformer++ model

Table A8: Hyperparameters for training protein sequence models.

Effective batch size ^{a,b}	64-1
Optimizer	AdamW $\beta = (0.9, 0.95)$
Learning rate ^{b,c}	$6e-4$
Learning rate scheduler	constant
Learning rate warmup steps	500
Weight decay	0.1
Dropout	θ

^a Decreased with context size to maintain a fixed total number of tokens per batch. For the larger model, the rule was relaxed for $T = 2^{16}$ and 2^{17} to enable multi-GPU training, with the batch size set to the number of GPUs.

^b Prot-Transformer++ was trained on 6 GPUs with an effective batch size of 96 and a learning rate of 9e-4.

^c Due to unstable training of the larger model at $T = 2^{17}$ and 2^{18} the learning rate was reduced to 1e-4.

Given the substantial computational resources required, we did not fine-tune the training parameters. Instead, we used the default settings established by ProtMamba, which are reported in Table [A8.](#page-28-2)

Metrics. During training, we evaluated the next-token prediction capabilities of the models using negative log-likelihood and token perplexity. The perplexity was calculated for different segments of the concatenated-FIM sequence: the first sequence (first_seq), the second sequence (second_seq), and the last protein sequence (last_seq). We also evaluated performance specifically on the FIM tokens (fim) and the entire concatenated sequence. Once the models were trained we evaluated their performance on the independent test set with $T = 2^{17}$.

D.2 ICL: Homology-Conditioned Protein Generation

Experimental setup. To evaluate the capacity of Prot-xLSTM to autoregressively generate novel protein sequences given a context of known homologs, we follow the protocol outlined in Section 3.4 of [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3). For a subset of 19 homology clusters from the test set, we generate sequences with contexts consisting of 10, 100, 500, 1000 and N (total number of sequences in the cluster) sequences. For each context length, we generate 100 sequences each with the following parameter combinations of generation temperature (τ) , top-k, which restricts the output selection to the k most probable tokens, and top-p, which limits the output to tokens reaching a cumulative probability $p: (\tau, \text{top-}k, \text{top-}p) \in \{(0.8, 10, 0.9), (0.9, 10, 0.95), (1, 10, 0.95), (1, 10, 1), (1, 15, 1)\}\$ [\(Ferruz et al.,](#page-10-8) [2022\)](#page-10-8). This results in a total of 2,500 sequences per cluster.

Methods compared and hyperparameter selection. We compare both Prot-xLSTM models to ProtMamba models with a similar number of parameters. Note that the large Prot-xLSTM model was evaluated after training for \sim 45B tokens with context length up to 2^{16} .

Metrics. We evaluate the novelty of generated sequences by calculating the Hamming distance to the closest natural sequence in the cluster using pairwise Smith-Waterman alignment. Additionally, we measure sequence similarity to homologs with the HMMER score from a Hidden Markov Model (HMM) trained on the cluster's MSA. The generated sequences are also folded using ESMFold [\(Lin](#page-12-1) [et al.,](#page-12-1) [2023\)](#page-12-1) and assessed by pTM and average pLDDT confidence scores. To compare these scores

with natural sequences, we compute Kolmogorov-Smirnov test statistics between the scores of 100 natural sequences and the 100 generated sequences with the lowest perplexity.

Results. Figure [A4](#page-31-0) displays the distribution of scores for 100 randomly sampled natural sequences from each cluster as well as the 100 sequences with the lowest perplexity generated by Prot-xLSTM and ProtMamba models for 10 randomly selected clusters. Table [A9](#page-29-2) shows the average across all 19 evaluated test clusters. Sequences generated by Prot-xLSTM-102M were on average longer, more similar to other proteins in the cluster (measured by Hamming distance), and got a higher HMMER score and higher folding confidence scores compared to ProtMamba-generated sequences. Notably, these observations mostly also hold when compared to natural sequences.

Table A9: Score comparison of natural and generated proteins. Average scores (sequence length, Hamming distance to the closest natural neighbor, HMMER score, pLDDT and pTM) across 19 test clusters for sequences generated with Prot-xLSTM and ProtMamba models. Error bars indicate 95% confidence intervals across clusters.

	Natural Seqences	Prot-xLSTM $-26M$	ProtMamba $-28M$	Prot-xLSTM ^a $-102M$	ProtMamba $-107M$
Sequence length	$211^{\pm 28}$	$290^{\pm 36}$	$326^{\pm 43}$	$286^{\pm 38}$	$276^{\pm 40}$
Min. Hamming \downarrow	$0.51^{\pm0.04}$	$0.55^{\pm0.05}$	$0.64^{\pm0.04}$	$0.44^{\pm0.07}$	$0.56^{\pm0.03}$
HMMER \uparrow	$96^{\pm 25}$	$182^{\pm 56}$	$122^{\pm 50}$	$165^{\pm 45}$	$163^{\pm 45}$
$pLDDT \uparrow$	$0.81^{\pm0.03}$	$0.79^{\pm0.04}$	$0.67^{\pm0.07}$	$0.80^{\pm0.03}$	$0.80^{\pm0.03}$
$pTM \uparrow$	$0.77^{\pm0.06}$	$0.74^{\pm0.06}$	$0.54^{\pm0.10}$	$0.75^{\pm0.06}$	$0.74^{\pm0.06}$

^a Trained for \sim 45B tokens with context length up to 2^{16} .

Table [A10](#page-29-0) demonstrates that Hamming distance, HMMER score, pTM and pLDDT correlate well with sequence perplexity for both, Prot-xLSTM and ProtMamba, with an average Pearson correlation coefficient of 0.57 for both large models.

Table A10: Score distribution comparison of natural and generated proteins. Average Pearson correlation between model perplexity and sequence scores (sequence length, Hamming distance to the closest natural neighbor, HMMER score, pLDDT and pTM) for sequences generated with Prot-xLSTM and ProtMamba models. Error bars indicate 95% confidence intervals across 19 test clusters.

^a Trained for \sim 45B tokens with context length up to 2^{16} .

D.3 Protein Variant Fitness Prediction

Experimental setup. We evaluate Prot-xLSTM's ability to predict mutational effects by leveraging its inpainting capabilities from the FIM training objective. This assessment follows the protocol described in Section 3.2 of [Sgarbossa et al.](#page-14-3) [\(2024\)](#page-14-3) for the ProteinGym DMS substitution benchmark [\(Notin et al.,](#page-12-10) [2023\)](#page-12-10), which consists of 217 datasets of single and multiple substitutions in protein sequences, allowing comparison with state-of-the-art methods for protein variant fitness prediction. Briefly, for each wild-type sequence, three sets of 200 homologs were obtained by subsampling MSAs following the ColabFold protocol [\(Mirdita et al.,](#page-12-11) [2022\)](#page-12-11) to be used as context. The context sequences are ordered from the least similar to the most similar one. The wild-type sequence is then concatenated with the context, the mutated residue is masked, and this residue is predicted using the FIM method. Fitness is evaluated as the difference in likelihood between the concatenated sequence with the wild-type and the mutated amino acid and averaged over the triplicate. For multiple mutations, fitness is approximated as the sum of the likelihoods of single mutations.

Methods compared and hyperparameter selection. We compare both Prot-xLSTM models to the **ProtMamba** models, as well as to **PoET** (Truong Jr $\&$ Bepler, [2023\)](#page-14-2), a transformer that introduced the concept of non-aligned homologous sequences for protein language modeling, and SaProt [\(Su](#page-14-9) [et al.,](#page-14-9) [2024b\)](#page-14-9), a transformer with a structure-aware vocabulary that currently leads the ProteinGym leaderboard.

Metrics. ProteinGym's main metric is the average Spearman correlation between the fitness predictions and the experimental DMS results.

Results. Table [A11](#page-30-0) summarizes the results on the ProteinGym benchmark.

Table A11: ProteinGym zero-shot DMS substitution benchmark. The average Spearman correlation (↑) between predicted fitness scores and experimental measures over 217 DMS assays is shown. While even small Prot-xLSTM models already yield high scores, the large SaProt model, which uses additional structure tokens, performs best.

Prot-xLSTM	ProtMamba	SaProt	Prot-xLSTM	ProtMamba	PoET	SaProt
$-26M$	$-28M$	$-35M$	$-102Ma$	$-107M$	$-201M$	-650M
0.411	0.360	0.406^{b}	0.415	0.416	0.484°	$0.457^{a,d}$

^a Trained for 60B tokens.

b Values from [proteingym.org](https://proteingym.org/benchmarks).

^c Value from [Truong Jr & Bepler](#page-14-2) [\(2023\)](#page-14-2), not verified by ProteinGym leaderboard.

^d Leader of ProteinGym leaderboard on 27/09/2024.

Figure A4: Scores of natural and generated proteins. Boxplots of score distributions (sequence length, Hamming distance to the closest natural neighbor, HMMER score, pLDDT, and pTM) for 10 randomly selected clusters evaluated for 100 randomly chosen natural sequences and 100 generated sequences with lowest perplexity values for large Prot-xLSTM and ProtMamba models.

E Chem-xLSTM: Details and Additional Results

For chemical sequences, we perform two sets of experiments: a) unconditional molecule generation where we follow the experimental protocol of \ddot{O} zcelik et al. [\(2024\)](#page-12-4). Additionally, we propose a new and more challenging task: **b**) **conditional generation with ICL**, in which we generate new compounds conditional based on provided in-context compounds.

E.1 Unconditional Molecule Generation

Unconditional molecule generation is the task of generating valid small molecules without imposing constraints on their characteristics or properties. Generative models aim to learn a general distribution by processing many examples of desirable results. To this end, models are trained on large training sets of arbitrary small molecules without particular conditions or constraints [\(Segler et al.,](#page-14-4) [2018;](#page-14-4) [Gómez-Bombarelli et al.,](#page-10-13) [2018;](#page-10-13) [Özçelik et al.,](#page-12-4) [2024\)](#page-12-4). Following this approach, we compared the ability of xLSTM and several other models to generate valid and diverse molecules.

Experimental setup. For comparability, we aligned our experiments with the setting and dataset of [Özçelik et al.](#page-12-4) [\(2024\)](#page-12-4). This means that all models are trained to generate molecules as SMILES strings [\(Weininger,](#page-15-3) [1988\)](#page-15-3) using a CLM paradigm. The dataset used in [\(Özçelik et al.,](#page-12-4) [2024\)](#page-12-4) is derived from ChEMBL with a random split in 1.9M training, 100k validation, and 23k test molecules, which have been encoded as SMILES. Before training, all SMILES strings were tokenized using a regular expression, containing all elements. This results in atoms being represented as one token as well as additional SMILES symbols.

Methods and hyperparameters. We compared xLSTM with several other model classes. The first baseline is the default LSTM [\(Hochreiter & Schmidhuber,](#page-11-10) [1997\)](#page-11-10) in PyTorch, which includes a forget gate [\(Gers et al.,](#page-10-14) [1999\)](#page-10-14). This can be considered the direct predecessor of the xLSTM architecture. We also included a variant GPT-2 [\(Radford et al.,](#page-13-13) [2019\)](#page-13-13) model based on the Transformer architecture [\(Vaswani et al.,](#page-14-1) [2017\)](#page-14-1) with causal masking. Finally, we included two SSMs in our comparison. On one side, we considered an S4 model with the implementation from [Gu et al.](#page-11-6) [\(2022\)](#page-11-6), following [\(Özçelik et al.,](#page-12-4) [2024\)](#page-12-4). On the other side, we incorporated a Mamba model, using the official repository provided with [\(Gu & Dao,](#page-11-7) [2023\)](#page-11-7). For our Chem-xLSTM, we used an xLSTM using only mLSTM blocks [\(Beck et al.,](#page-9-7) [2024\)](#page-9-7). The 15M-parameter model consists of 9 layers with a hidden dimension of 512 and 8 heads. We trained the model for up to 100 epochs with a batch size of 1,024, a context length of 100, a dropout rate of 0.25, and a learning rate of 0.005. All models were trained using the Adam optimizer [\(Kingma & Ba,](#page-11-17) [2015\)](#page-11-17) using $\beta = (0.9, 0.999)$, $\epsilon = 1e^{-8}$, and a learning-rate schedule with warm-up and cosine decay. We selected the best model based on the minimum validation loss observed at the end of each epoch. The hyperparameters were manually tuned to match the model parameter count for a fair comparison.

Metrics. We evaluated each model with respect to the perplexity on the next token, and the FCD [\(Preuer et al.,](#page-13-6) [2018\)](#page-13-6). The FCD has been introduced as an alternative to the FID, which is used to evaluate image generation, for molecule generation. Additionally, we evaluate auxiliary metrics that measure the syntactic correctness, novelty, diversity, or synthetic accessibility.

Results. Our proposed Chem-xLSTM model achieved the best results, with the lowest FCD (0.13) and a perplexity (1.68) that is competitive with that of GPT-based models. This indicates that Chem-XLSTM is able to generate realistic chemical structures that match the target distribution well.

All models in our comparison were able to produce valid, unique, and novel molecules. Even though these models have not been optimized for these properties. This is evidenced by the auxiliary metrics surpassing practical thresholds (see Table [A13\)](#page-34-2).

E.2 Conditional Molecule Generation with In-Context Learning

Conditional molecule generation with in-context learning (ICL) leverages contextual information to guide the design of novel molecules tailored for specific domains. By incorporating a sequence of molecules as the input, models can conditionally generate new compounds of the same distribution, without the need for fine-tuning.

Experimental setup. Similar to the unconditional setup, the input consists of SMILES strings. In the conditional setup, we additionally model sets of molecules from the same molecular domain as a

Hyperparameter	Chem-xLSTM-15Mn	Chem-xLSTM-15M-icl		
Number of layers	9	9		
Number of heads	8	8		
Embedding dimension	512	512		
Hidden dimension	512	512		
Batch size	16, 32, 64, 128	16, 32		
Proj. factor	1.3	1.3		
Learning rate	$1e-4$, $2e-4$, $3e-4$, $5e-4$	16, 1e-4, 2e-4, 3e-4, 5e-4		
Optimizer	Adam, AdamW	Adam		

Table A12: Hyperparameter space considered for the Chem-xLSTM at different sizes. The selected values are marked in bold.

sequence. Molecules from one molecular domain are serialized and concatenated, separated with the "." token. During training, the order of the molecules is permuted to improve generalization and robustness. We construct a novel dataset derived from a variety of molecular domains:

- We consider natural-products as domain and utilize the Coconut [\(Nainala et al.,](#page-12-12) [2024\)](#page-12-12) as source dataset.
- Kinase inhibitors, withdrawn, malaria, tool compounds, pathogen, NIH mechanistic, lopac, natural product-based probes and drugs, zinc tool, axon medchem, adooq bioactive, novartis chemogenetic, drug matrix, PROTACs, covalentIn db, DrugBank compounds, reframe, cayman bioactive all from the Probes & Drugs portal [\(Skuta et al.,](#page-14-15) [2017\)](#page-14-15),
- product molecules from the reaction dataset USPTO-50k [\(Lowe,](#page-12-13) [2012\)](#page-12-13) split into 10 reaction classes.
- The domains bio, diversity, green, yellow, orange, and red, from ZINClick [\(Levré](#page-12-14) [et al.,](#page-12-14) [2018\)](#page-12-14).
- Active molecules from the domains BACE, BBBP, Clintox, HIV, SIDER, Tox21, Tox21-10k, and Toxcast from MoleculeNet [\(Wu et al.,](#page-15-12) [2018\)](#page-15-12).
- Active molecules from 95 bioassays from FS-MOL [\(Stanley et al.,](#page-14-16) [2021\)](#page-14-16) considered each as separate domain.
- Active molecules from 109 bioassays from PubChem [\(Kim et al.,](#page-11-3) [2023\)](#page-11-3) considered each as separate domain.
- A subset of active molecules from the BELKA challenge [\(Quigley et al.,](#page-13-14) [2024\)](#page-13-14) is modeled as a domain.

For the domains that are defined by the active molecules from a particular bioassay, we selected assays with at least 300 active molecules and only use the active compounds. For the dataset each of the total 249 domains is limited to 100,000 compounds, where compounds are selected at random. The final dataset is split at 8:1:1 into train-, validation- and test-domains, sorted by their character length in descending order.

Methods and hyperparameters. We benchmark and orient our choices for the model classes as well as hyperparameters based on the unconditional molecule generation results, We consider a context length of 4,096 and adjust batch sizes as well as accumulation steps to accommodate GPU memory constraints. For the S4 model, we were only able to fit a context length of 2,048.

Metrics. To evaluate conditional molecule generation we evaluate NTP loss. This metric quantifies how well the model predicts the next token in a sequence, thus assessing whether a model is able to generate molecules from an unseen, and potentially very special, molecular domain given only a few molecules from that domain.

E.3 Architecture and Hyperparameter Selection

Considered and selected hyperparameters for Chem-xLSTM are given in [A12.](#page-33-1)

Table A13: Diversity and correctness metrics for the 15M parameter models for small molecules (SMILES). The table reports the percentage of valid, unique, and novel molecules, the synthetic accessibility (SA), and the diversity metric by the percentage of unique Murcko scaffolds divided by the total number of generated molecules.

Model	valid $%$	unique $%$	novel $%$	$SA \downarrow$	diverse $%$
SMILES-LSTM (Segler et al., 2018)	$90.11^{\pm 10.7}$	$56.72^{\pm3.4}$	$56.66^{\pm3.6}$	$2.85^{\pm0.0}$	$44.71^{\pm1.1}$
SMILES-GPT (Adilov, 2021)	$99.05^{\pm0.5}$	$62.09^{\pm 12.1}$	$61.81^{\pm 12.0}$	$2.90^{\pm0.0}$	$48.82^{\pm9.7}$
SMILES-S4 (Özçelik et al., 2024)	$97.48^{\pm0.0}$	$61.47^{\pm0.0}$	$61.34^{\pm0.0}$	$2.86^{\pm0.0}$	$48.49^{\pm0.0}$
Chem-Mamba ^a	$91.41^{\pm8.9}$	$57.75^{\pm3.2}$	$57.63^{\pm3.8}$	$2.84^{\pm0.0}$	$45.65^{\pm7.2}$
Chem-xLSTM (ours)	$97.08^{\pm0.7}$	$61.09^{\pm8.9}$	$60.84^{\pm9.6}$	$2.83^{\pm0.0}$	$45.97^{\pm5.5}$

^a adapted to SMILES in this work

E.4 Implementation Details

Unlike Özcelik et al. [\(2024\)](#page-12-4), we do not backpropagate the loss for [PAD] tokens, nor do we interpret them for decoding. We observed that not ignoring [EOS] and [PAD] token leads to more diversity but is not the standard way of decoding in e.g. NLP. Padding tokens are not typically generated during decoding. They are primarily a pre-processing step to handle batches of data efficiently. In our implementation, we end decoding the SMILES string with the [EOS] token. Further, we do not use SMILES augmentation, which could further improve the performance of all architectures.

E.5 Additional Results

Practical thresholds are defined based on several key metrics. First, a high percentage of generated SMILES strings must correspond to chemically valid molecules, with a threshold typically set above 90% to ensure reliability. Additionally, a practical threshold for uniqueness might require that over 80% of the generated molecules are unique, ensuring diversity in the explored chemical space. For novelty, at least 50-70% of the generated molecules should be novel compared to known chemical databases, indicating the model's ability to explore new regions of chemical space. Finally, all models exhibit favorable synthetic accessibility (SA) scores, typically ranging between 2.5 and 5, ensuring that the generated molecules are feasible for synthesis. Further metrics and details are provided in the appendix.

F Limitations

While Bio-xLSTM shows strong performance across DNA, protein, and chemical sequence modeling, it has several limitations. The manual hyperparameter selection, which was due to limited computational resources, may prevent optimal model configurations. We will explore the hyperparameter spaces in the future, which might yield even better models. For DNA, the reliance on character-level tokenization might also restrict the performance and scaling to larger context sizes. The models DNA-xLSTM, Prot-xLSTM, and Chem-xLSTM are currently constrained by the training dataset and their generalizability across organisms and chemical domains needs further exploration. Across all three domains, the datasets used for training contain biases – whether it's population biases in the genomic data, sequence distribution biases in protein datasets, or chemical exploration biases in molecular datasets. These biases could influence the model's predictions and limit its generalizability in real-world applications. In line with many works, we consider the perplexity metric, for example, next token perplexity, or the related cross-entropy losses as a proxy for performance on downstream tasks. However, this metric might not capture the capacities of biological and chemical language models appropriately. Future work could address these limitations by expanding the training datasets and exploring more efficient architectures tailored to the specific challenges of each domain.

G Conclusions

In this work, we introduced the Bio-xLSTM architecture and demonstrated its effectiveness across three key domains: DNA, protein, and small molecule modeling. In DNA sequence modeling, BioxLSTM showed strong performance in both masked and causal language modeling tasks. For protein sequences, Bio-xLSTM clearly outperformed the state-of-the-art Mamba model in benchmarks and large-scale settings, establishing itself as the leading approach for generating homologous proteins. In the domain of small molecule generation, Bio-xLSTM achieved the best Fréchet ChemNet Distance (FCD) in unconditional molecule generation and demonstrated some capacity for in-context learning, showcasing its potential for future developments in conditional molecular design. Overall, Bio-xLSTM offers a versatile and competitive approach to sequence modeling across biological domains. In this work, we brought some clarity to both a) how to tailor xLSTM for biological and chemical sequences and b) how xLSTM-based models compare against other domain-specific LLMs, demonstrating their strong performance across DNA, protein, and chemical sequence tasks.